

Global Grant Application

GRANT NUMBER
GG2350854

STATUS
Submitted

Basic Information

Grant title

Agua para las tierras altas occidentales en Guatemala

Type of Project

Humanitarian Project

Address community needs and produce sustainable, measurable outcomes

Primary Contacts

| Name | Club | District | Sponsor | Role |
|----------------|---------------|----------|----------|---------------|
| Jagi Shahani | Cupertino | 5170 | District | International |
| Francisco Viau | Guatemala Sur | 4250 | District | Host |

Committee Members

Host committee

| Name | Club | District | Role |
|------------------------------|--|-----------------|-------------------|
| Cecilia Manrique Zuñiga | Los Altos Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |
| María Fuentes González | Los Altos Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |
| MIRNA LEMUS DE SALGUERO | Huehuetenango [Rotary Club] | 4250 | Secondary Contact |
| Marco Herrera Scheel | Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |
| Luis Balcárcel López | Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |
| Juan Saenz Ortega | Huehuetenango [Rotary Club] | 4250 | Secondary Contact |
| Ernesto Villatoro Rivera | Huehuetenango [Rotary Club] | 4250 | Secondary Contact |
| Alfonso Alejos | Guatemala Sur [Rotary Club] | 4250 | Secondary Contact |
| Carlos Pérez Paiz | Guatemala Sur [Rotary Club] | 4250 | Secondary Contact |
| Carlos Guzmán Gutiérrez | Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |
| Jose Zelaya | Guatemala Sur [Rotary Club] | 4250 | Secondary Contact |
| Andrea Echeverría Pereira | Los Altos Quetzaltenango [Rotary Club] | 4250 | Secondary Contact |

International committee

| Name | Club | District | Role |
|-------------------|------------------------------|----------|---------------------------------|
| Jody Solow-Davies | Cupertino [Rotary Club] | 5170 | Secondary Contact International |
| William Furniss | Cupertino [Rotary Club] | 5170 | Secondary Contact International |

Do any of these committee members have potential conflicts of interest?

A conflict of interest occurs when someone is in a position to make or influence a decision about a grant or award that could benefit them, their family, their business, or an entity in which they serve in a paid or voluntary leadership or advisory position.

No

Project Overview

Tell us a little about your project. What are the main objectives of the project, and who will benefit from it?

The primary objective of this project is to significantly improve the well-being of indigenous communities in the Western Highlands of Guatemala by providing consistent, adequate and convenient access to clean drinking water. These communities and local governments will be equipped to facilitate sustainable WASH interventions and services.

The Rotary Club of Cupertino in partnership with the Rotary Clubs of Guatemala Sur, Quetzaltenango, Los Altos Quetzaltenango & Huehuetenango and World Vision Guatemala is pleased to implement this large-scale WASH project. This WASH project will use lessons learned in the WinS (WASH in schools) project successfully completed in Guatemala by the Host club as well as from World Vision's experience and expertise. The project will bring water directly to over 7300 people in 3 communities, 8 schools, 2 Health Care Facilities.

Without clean or safe drinking water, basic sanitation and healthy hygiene behaviors, in homes, schools and health care facilities, sustainable human development is seriously hampered.

Areas of Focus

Which area of focus will this project support?

Water, sanitation, and hygiene

Measuring Success

Water, sanitation, and hygiene

Which goals will your activity support?

Facilitating universal and equitable access to safe and affordable drinking water; Improving water quality by protecting and maintaining surface- and groundwater resources, reducing pollution and contaminants, and promoting wastewater reuse; Facilitating universal and equitable access to improved sanitation and waste management services in order to achieve open defecation-free communities; Improving community hygiene knowledge, behaviors, and practices that help prevent the spread of disease;

How will you measure your project's impact? You need to include at least one standardized measure from the drop-down menu as part of your application.

| Measure | Collection Method | Frequency | Beneficiaries |
|--|---------------------------|--------------------|---------------|
| Number of people with access to improved sources of drinking water | Direct observation | Every three months | 2500+ |
| Number of people with access to improved sanitation facilities | Focus groups/interviews | Every three months | 2500+ |
| Number of communities with a functioning governance committee in place | Grant records and reports | Every three months | 1-19 |
| Number of individuals trained | Grant records and reports | Every three months | 2500+ |
| Number of communities utilizing a tariff/usage fee structure | Public records | Every three months | 1-19 |

Do you know who will collect information for monitoring and evaluation?

Yes

Name of Individual or Organization

World Vision Guatemala, Rotary Clubs of Guatemala Sur, Quetzaltenango, Los Altos Quetzaltenango & Huehuetenango and Cupertino Rotary

Briefly explain why this person or organization is qualified for this task.

World Vision Guatemala is our execution partner and has full-time employees embedded in the communities. The Rotary Club of Guatemala Sur will be the Lead Club in the execution of this project. Guatemala Sur, under the leadership PDG Francisco Viau, in particular, has been the Host club for a large successful WASH GG Project called WinS (WASH in Schools) along with other key members of RC Guatemala Sur. They have been the lead in securing artisans, supplies and labor.

Rotary Clubs around the world have been working together in partnership with World Vision since 2005. Together they have transformed the lives of more than 3.3 million people.

World Vision understands the importance of joint Rotary WV projects. The Rotary Club of Cupertino recognizes the value of leveraging the expertise of WV's professional staff to increase the project's impact, their focus on sustainability and the reliability of reporting and accounting. Also, we recognize the importance that WV's local staff can have with "feet on the ground" when matters of local governments are considered.

TRF's faith in World Vision was recently demonstrated when they were selected as a partner in Rotary's first "Program of Scale."

Location and Dates

Humanitarian Project

Where will your project take place?

City or town

Tuilcanabaj, Palestina de Los Altos & Paquix

Country

Guatemala

When will your project take place?

2024-07-15 to 2026-07-15

Province or state

Quetzaltenango & Huehuentenango

Participants

Cooperating Organizations (Optional)

| Name | Website | Location |
|--------------|---------------------|---|
| World Vision | www.worldvision.org | P.O. Box 9716, Mail Stop 412 Federal Way, Washington 98063-9716 United States |

Supporting Documents

- GG2350854_RI-WV_MOU_Guatemala_WASH_.pdf

Do any committee members have a potential conflict of interest related to a cooperating organization?

No

Why did you choose to partner with this organization and what will its role be?

Rotary has a long-standing relationship with World Vision. The relationship between RI and World Vision dates back to 2005 when RI and WV formed an alliance. RI and WV have partnered in over 20 global grants. WV is one of the world’s largest NGO’s with feet on the ground in almost 100 countries. Their commitment to WASH aligns with our club's International focus on WASH. World Vision's field management capabilities, outstanding commitment to WASH, and 100% monetary match sets a foundation for a project with outcomes that far exceed results to be expected without their partnership. WV has executed on smaller WASH projects independent of Rotary in Guatemala.

World Vision Guatemala maintains a local staff of approx 325 who will oversee the WASH project and the necessary reporting to the Host and International clubs as well as WV headquarters in Federal Way, WA.

This will be the first large-scale WASH project for WV in Guatemala in partnership with Rotary.

Partners (Optional)

List any other partners that will participate in this project.

Volunteer Travelers (Optional)

A grant for a humanitarian project can pay for travel for up to two people who will provide training or help implement the project if the necessary skills are not available locally. Upload a CV for each volunteer traveler. Do not include sensitive personal data, such as government ID numbers, religion, race, health information, etc. You are responsible for informing volunteer travelers of the personal data you have provided about him/her to Rotary and that it will be processed in accordance with Rotary’s [Privacy Policy](#).

| No. | Name | Email |
|-----|-------------------|-------------------------|
| 1 | Jagi Shahani | jagis1@comcast.net |
| 2 | Jody Solow-Davies | jodysolowdavies@aim.com |

Briefly explain why these individual(s) were selected and how they are qualified to provide skills that are not available locally.

GG2350854 is a joint venture between Rotary and World Vision and is governed by a negotiated MOU (uploaded). Unlike other Global Grant Projects, the expectations and requirements of the Partners involved is very specific. This is high stakes for Rotary where the International & Host sponsor are expected to be responsible for all grant funds and the MOU requires close supervision and management from the key stakeholders, & on-site visits.

Jagi Shahani has been the primary driver of this project, and liaison with World Vision USA. He has traveled to Guatemala and personally visited the indigenous communities that this project will support, along with PDGs Francisco Viau & the late Jorge AuFranc. Jagi has been intimately involved in understanding the specific needs of the beneficiaries and has written the Needs Assessments, Oversight and Governance plan and the Training Plan. Jagi has been actively involved in the overall design of the proposed solutions for each community since 2022. Jagi has over 50 years of Program Management experience in the high tech, with emphasis on governance, change and risk management.

Jody, is a key member of the Committee who has been involved in the planning stage from a fund-raising and tracking perspective. Jody's key qualifications are in accounting and financial management and has been the treasurer on several Club fund-raisers.

Describe this person's role in the project.

The 2 Rotarians chosen will be invaluable team members working closely with the Rotary Clubs of Guatemala Sur, Quetzaltenango, Los Altos and Huehuetenango. Jagi's role in this project will be as the lead member of the Program Management Committee (PMC) overseeing program management and coordination with the lead Host club, and progress reporting to RI. He has been the key driver behind the development of the project solutions and the primary fund-raiser for Rotary on this project.

Jody will be the Finance Director representing the International Sponsor with oversight on the management and disbursement of Rotary funds. Jody will be engaged in the monitoring of the fiscal aspects of the grant and the due diligence process, especially in the selection of sub-contractors and vendors.

Host sponsor confirmation of volunteer travelers

I confirm that the host club or district has extended a travel invitation, fully supports and approves volunteer traveler(s), and verifies that the international travel to the club and/or district is needed to implement the project.

Supporting Documents

- Jagi_CV_2024-03-01.pdf
- Jody_Solow-Davies_Resume.pdf

Rotarian Participants

Describe the roles and responsibilities that the host and international sponsors will have in this project. Please be specific. Which sponsor will receive and manage the grant funds?

The International Sponsor will coordinate with the Host sponsor and WV-US and WV-Guatemala as part of

a Program Management Committee (PMC) throughout the implementation. All funds will be received by the International Sponsor as outlined in the RI-World Vision MOU and deposited in a Grant-Specific bank account in the name of the Cupertino Rotary Endowment Foundation (a 401c3 organization). As per the MOU, these funds are then wired to World Vision-US.

The international and host sponsor team will be the liaison for all of the local participating parties. They will be in constant communication with the local municipalities and cooperating organizations and capitalize on existing relationships. Rotary team members will be following up with WV to make sure teams are trained and ensure the sustainability of the systems that will be put in place. They will work jointly with local WV staff on the needs assessment, locations of the project and monitoring and evaluation. They will actively manage together with WV in securing supplies, obtaining contracts and recruiting local artisans and labor. They will be partners with WV in overseeing the budgeting and invoicing. They will participate in the PMC and related government coordination meetings, They will visit village and project work sites, participate in community training sessions, coordinate appropriate signage and publicity for the project, and assist with project monitoring and reporting.

Specifically, they shall:

- A. Form a committee of at least three members who shall agree to be involved in all aspects of the project including: planning, overall project scope, design and implementation working in collaboration with local WV staff in the project area.
- B. Be involved in selection of all contractors in consultation with WV that will carry out work with Rotary Funds. Be involved in all government meetings regarding the project as can be reasonably expected.
- C. Participate in the monthly meetings (or greater frequency as agreed and needed at particular stages of the project) between all parties associated with the project. All project details are to be shared and communicated at these meetings including any available budget updates and progress reported against the overall project goals.
- D. Conduct regular village, community and project site visits as can be reasonably expected depending on logistics to monitor progress and evaluate and check up on all aspects of the project and goals. Understand background checks conducted by WV are required for all Rotarian visitors and guests to WV sites in accordance with WV child protection policies, protocols and standards.
- E. Participate in ongoing community outreach, & training sessions as may be required for health, education, economic development, or other related projects. Host Rotary clubs/districts are encouraged to find any additional ways to learn from the local WV staff and be involved in the project.
- F. Maintain communications with WV National Office staff and address any issues that might have an effect on the success of the project.
- G. Ensure proper signage, which emphasizes community involvement and ownership at all project sites and make sure signs are affixed permanently in a prominent place near any specific project locations (such as water wells, water reservoirs, water points, if applicable).
- H. With the participation of the International Club create and submit to TRF all grant applications and reports.
- I. Complete progress reports which will include details of all project aspects to date as well as current budget status of project funds spent.
- J. Conduct and encourage site visits by its members and other Rotarians as might be involved or interested.
- K. Publicize the project in the district, at the RI level and other venues as appropriate. The Rotary clubs/districts will also work with WV National Office staff to assist in publicizing the activity and success.
- L. Follow through on all project goals and the details of the data collection process to evaluate success and sustainability of the project. It will monitor the results and will encourage those on site to maintain accurate records for this purpose. Detailed budget and all project aspects are to be reported at minimum 6 months intervals on the project including budget status.

Describe how the partnership between the host and international sponsors was formed. What agreement have the sponsors made toward ensuring that the project will be implemented successfully? How will they manage any challenges that arise throughout the project?

World Vision and the International Sponsor initiated the concept of executing a large-scale WASH project in Guatemala. The International sponsor was introduced to RC Guatemala Sur by Erica Gwinn, WASH Area of Focus Manager. The International Club has lead the fundraising efforts. The International Club plans at least two on-site visits per year for 2 members of the PMC to meet with WV Guatemala; the RCs of Guatemala

Sur, Quetzaltenango & Huehuetenango as the project is implemented. Additionally the International Club will communicate monthly or weekly as deemed necessary with the Host Club and World Vision to provide guidance and receive project updates. The International Club will be responsible for fund distribution to WV - USA as defined in the MOU.

Budget

What local currency are you using in your project's budget?

The currency you select should be what you use for a majority of the project's expenses.

| | | |
|-----------------------|--|------------------------|
| Local Currency | U.S. dollar (USD) exchange rate | Currency Set On |
| USD | 1 | 18/08/2023 |

What is the budget for this grant?

List each item in your project's budget. Remember that the project's total budget must equal its total funding, which will be calculated in step 9. Project budgets, including the World Fund match, must be at least 30,000 USD.

| # | Category | Description | Supplier | Cost in USD | Cost in USD |
|---------------|-----------------------|--|-----------------------|-------------|-------------|
| 1 | Equipment | Palestina Distribution Tank | World Vision | 110072 | 110072 |
| 2 | Training | Palestina WASH training | World Vision | 9900 | 9900 |
| 3 | Equipment | Tuilcanabaj Tanks and 5Km piping | World Vision | 32444 | 32444 |
| 4 | Training | Tuilcanabaj WASH Training | World Vision | 11515 | 11515 |
| 5 | Equipment | Paquix tanks, pumps and pipeline | World Vision | 163544 | 163544 |
| 6 | Training | Paquix WASH Training | World Vision | 21543 | 21543 |
| 7 | Travel | Regular village, community and project site visits | Project Team | 12000 | 12000 |
| 8 | Monitoring/evaluation | Program management | Rotary & World Vision | 56982 | 56982 |
| 9 | Project management | Contingencies | Rotary & World Vision | 43000 | 43000 |
| Total budget: | | | | 461000 | 461000 |

Supporting Documents

- WASH-G_Budget_3-25-24.xlsx

Funding

Tell us about the funding you've secured for your project. We'll use the information you enter here to calculate your maximum possible funding match from the World Fund.

| # | Source | Details | Amount (USD) | Support* | Total |
|---|--|--|-----------------|----------|-----------|
| 1 | District Designated Fund (DDF) | 5170 | 69,776.00 | 0.00 | 69,776.00 |
| 2 | Cash from Club | Cupertino [Rotary Club] | 18,810.00 | 940.50 | 19,750.50 |
| 3 | Endowed/Directed gift Fondo for District | 4250 | 50,000.00 | 0.00 | 50,000.00 |
| 4 | Cash from Club | Charlotte Hall [Rotary Club] | 10,000.00 | 500.00 | 10,500.00 |
| 5 | Cash from Club | Yorba Linda Sunrise [Rotary Club] | 2,500.00 | 125.00 | 2,625.00 |
| 6 | District Designated Fund (DDF) | 5320 | 2,500.00 | 0.00 | 2,500.00 |
| 7 | Cash from Club | Sacramento [Rotary Club] | 20,000.00 | 1,000.00 | 21,000.00 |
| 8 | Cash from Club | Lincoln [Rotary Club] | 1,000.00 | 50.00 | 1,050.00 |
| 9 | Cash from Club | Point West-Sacramento [Rotary Club] | 2,000.00 | 100.00 | 2,100.00 |

| | | | | | | |
|----|---|--|--|-----------|----------|-----------|
| | Club | | | | | |
| 10 | District Designated Fund (DDF) | 5180 | | 13,951.00 | 0.00 | 13,951.00 |
| 11 | Non-Rotarian contributions with NO match from TRF | Bill Youngsman | | 5,000.00 | 250.00 | 5,250.00 |
| 12 | Non-Rotarian contributions with NO match from TRF | Murray Foundation | | 25,000.00 | 1,250.00 | 26,250.00 |
| 13 | Cash from Club | Roseville [Rotary Club] | | 4,000.00 | 200.00 | 4,200.00 |
| 14 | Cash from Club | Oklahoma City [Rotary Club] | | 5,000.00 | 250.00 | 5,250.00 |
| 15 | Cash from Club | Stillwater Frontier [Rotary Club] | | 5,000.00 | 250.00 | 5,250.00 |
| 16 | District Designated Fund (DDF) | 5750 | | 20,000.00 | 0.00 | 20,000.00 |
| 17 | District Designated Fund (DDF) | 5030 | | 10,000.00 | 0.00 | 10,000.00 |

| | | | | | |
|----|---|--------------------------------------|-----------|--------|-----------|
| | ted Fund (DDF) | | | | |
| 18 | Cash from Club | Bellevue [Rotary Club] | 10,000.00 | 500.00 | 10,500.00 |
| 19 | District Designated Fund (DDF) | 5300 | 5,000.00 | 0.00 | 5,000.00 |
| 20 | Cash from Club | Baltimore [Rotary Club] | 2,500.00 | 125.00 | 2,625.00 |
| 21 | Cash from Club | Chicago [Rotary Club] | 3,000.00 | 150.00 | 3,150.00 |
| 22 | District Designated Fund (DDF) | 4250 | 5,000.00 | 0.00 | 5,000.00 |
| 23 | Cash from Club | Sunnyvale [Rotary Club] | 2,000.00 | 100.00 | 2,100.00 |
| 24 | Cash from Club | Fair Oaks [Rotary Club] | 1,000.00 | 50.00 | 1,050.00 |
| 25 | Cash from Club | Morgan Hill [Rotary Club] | 5,000.00 | 250.00 | 5,250.00 |
| 26 | Cash from Club | Santa Monica [Rotary Club] | 2,000.00 | 100.00 | 2,100.00 |
| 27 | Cash from Club | Arlington Heights [Rotary Club] | 2,000.00 | 100.00 | 2,100.00 |
| 28 | District Designated | 6440 | 3,000.00 | 0.00 | 3,000.00 |

| | | | | | |
|----|---|--|----------|--------|--------------|
| | Fund (DDF) | | | | |
| 29 | Cash from Club | East Sacramento [Rotary Club] | 3,000.00 | 150.00 | 3,150 .00 |
| 30 | Cash from Club | Orangevale [Rotary Club] | 2,000.00 | 100.00 | 2,100 .00 |
| 31 | Cash from Club | South Yuba County Sunrise [Rotary Club] | 200.00 | 10.00 | 210.00 |
| 32 | Cash from Club | South Placer (Rocklin) [Rotary Club] | 1,000.00 | 50.00 | 1,050 .00 |
| 33 | District Designa ted Fund (DDF) | 6970 | 6,000.00 | 0.00 | 6,000 .00 |
| 34 | The Rotary Founda tion Donor Advised Fund | Jeffrey Jackson Memorial - 755 Rotary | 5,000.00 | 0.00 | 5,000 .00 |
| 35 | Cash from Club | Washington, D.C. [Rotary Club] | 5,250.00 | 262.50 | 5,512 .50 |
| 36 | The Rotary Founda tion Donor Advised Fund | Fundación de La Guajira - 694 | 1,000.00 | 0.00 | 1,000 .00 |
| 37 | Cash from Club | Carson City [Rotary Club] | 2,000.00 | 100.00 | 2,100 .00 |
| 38 | Cash from | Evanston Lighthouse | 1,000.00 | 50.00 | 1,050 .00 |

| | | | | | |
|----|----------------|---|-----------|----------|-----------|
| | Club | [Rotary Club] | | | |
| 39 | Cash from Club | Tulsa [Rotary Club] | 20,000.00 | 1,000.00 | 21,000.00 |
| 40 | Cash from Club | El Dorado Hills [Rotary Club] | 675.00 | 33.75 | 708.75 |
| 41 | Cash from Club | Pocket/Greenhaven (Sacramento) [Rotary Club] | 656.00 | 32.80 | 688.80 |

*Whenever cash is contributed to the Foundation to help fund a global grant project, an additional 5 percent is applied to help cover the cost of processing these funds. Clubs and districts can receive Paul Harris Fellow recognition points for the additional expense.

How much World Fund money would you like to use on this project?

You may request up to 108,182.00 USD from the World Fund.

108182

Funding Summary

| | |
|---|------------|
| DDF contributions: | 135,227.00 |
| Cash contributions: | 131,591.00 |
| Endowed/Directed gift contributions: | 50,000.00 |
| The Rotary Foundation Donor Advised Fund: | 6,000.00 |
| Financing subtotal (matched contributions + World Fund): | 431,000.00 |
| Non-Rotarian contributions with NO match from TRF: | 30,000.00 |
| Total funding: | 461,000.00 |
| Total budget: | 461,000.00 |

Sustainability

Humanitarian Projects

Project planning

Describe the community needs that your project will address.

The drinking water supply and sanitation sector in Guatemala is characterized by low and inconsistent service coverage, especially in rural areas; unclear allocation of management responsibilities; and little or no regulation and monitoring of service provision.

According to the WHO/UNICEF Joint Monitoring Programme for Water Supply and Sanitation INC, access to water and sanitation services has slowly risen over the years in Guatemala. In 1990, 81% of the total population had access to improved water sources, while in 2004, 90% of the population had access. Accurate and reliable data regarding coverage in rural areas is unavailable. Conflicting statistics as to the percentages of the population using improved drinking water sources present difficulties in assessing the seriousness of the problem. Although the data above shows that water and sanitation coverage in both rural and urban areas is fairly high in Guatemala, the National Water and Sanitation Information System (Sistema Nacional de Informacion de Agua y Saneamiento) (SAS), which collects and maintains information regarding water and sanitation in rural communities, indicating that only 53% of homes (it is assumed that this statistic

refers to households in rural areas due to the rural focus of SAS) had access to water services. The SAS considers accessible services to be less than 1 kilometer from a home and that at least 20 liters of water is available to each person every day.

In the communities of Tuilcanabaj, Palestina de Los Altos and Paquix - all of which are indigenous populations in the Western Highlands - this is not the case. The inhabitants of these communities do not have direct, and convenient access to clean water. They are required to walk anywhere from 3 to 5 kms to collect water. The amounts available to them and the amounts they can carry is between 5 and 10 liters per person per household per day. Women and children are the primary water collectors and this necessitates taking girl children out of school. Inhabitants are forced to find additional sources of surface water which are contaminated and contribute to chronic gastrointestinal diseases, chronic malnutrition and stunted growth in children.

The Goal of this WASH project, in partnership with World Vision and the Rotary Clubs of Guatemala Sur, Los Altos Quetzaltenango, Quetzaltenango and Huehuetenango is to significantly improve the well being of 4604 children and 1191 households for a total population of over 7300 people in 3 communities, 8 schools, and 1 Health Post.

Results:

Outcome 1: Improved access to safe and sustainable water supplies for poor and vulnerable communities - especially children.

Outcome 2: Improved knowledge of proper water storage and use practices and promote behavioral change in these communities

Outcome 3: Community Development organizations and local municipal governments empowered to facilitate sustainable WASH interventions and services

Outcome 4: COCODE's trained in the organization, management administration, monitoring and maintenance of "water utilities"

Outcome 4: Specific training and equipping of key teams in each community in plumbing, electrical and routine repair and maintenance of water supply and delivery systems

How did your project team identify these needs?

Initial data-gathering from these communities was conducted by World Vision Guatemala (WVG) teams who are embedded within these communities. Meetings were held between WVG, COCODE's (Consejo Comunitarios de Desarrollo) – the Community Development Councils- and municipalities. Following these meetings, Rotarians from Guatemala clubs and Cupertino accompanied field teams from World Vision, in July 2023, to physically visit these communities, meet with representatives of the municipal governments and health authorities as part of the research and technical studies for this project. The findings were that the populations in these four vulnerable communities lack access to quality water either because there is no water system in place or that the systems that exist are damaged or obsolete.

Lack of safe drinking water because of haphazard water collection, especially from surface sources leads to chronic malnutrition and gastrointestinal diseases. There are no consistent, structured systems in place to test and treat water quality.

How were members of the benefiting community involved in finding solutions?

Each target community that we intend to serve with this project have COCODEs in place. They have the responsibility of addressing the needs of the community. World Vision has worked with and assisted these communities with an Area Development Plan to interact with municipal government, and health departments to define their needs and address their issues. This has included ensuring that the COCODEs also consistently involve representative members of the community - especially the women.

Interviews were carried out with this make up over the last 8 - 12 months.

Participants were the Rotary Club of Guatemala Sur, which will be the lead Host Club, and PDG Francisco Viau is the Lead Program Manager in Guatemala. PP Cecilia Manriquez, Fabiola Fuentes, Andrea Echeverria and represented Rotario Los Altos Quetzaltenango, President Marco Herrera represented Rotario Quetzaltenango, PP Lorena Salguero represented Rotario Huehuetenango and Jagi Shahani represented Cupertino Rotary - the International Sponsor.

How were community members involved in planning the project?

Community members have worked to identify water sources, and infrastructure gaps and needs for the construction of the water systems, acquisition of land for collection and distribution tanks and easements for pipelines. World Vision assisted in carrying out the design and feasibility studies.

Project implementation

Summarize each step of your project's implementation.

Do not include sensitive personal data, such as government ID numbers, religion, race, health information, etc. If you include personal data, you are responsible for informing those whose personal data is included that you are providing it to Rotary and that it will be processed in accordance with Rotary's [Privacy Policy](#).

| # | Activity | Duration |
|----|---|----------|
| 1 | Palestina: Demo of existing tank (paid by Municipality) & construction of new tank | 3 months |
| 2 | Palestina: refurbishing of existing toilets and addition of new toilets, water tanks and hand wash stations for 3 schools and upgrading HCF toilets for handicapped | 3 months |
| 3 | Palestina: WASH training for Water Committee, 656 students and 34 teachers in the schools and 6 HCF staff | 1 month |
| 4 | Palestina: Train COCODE on proper administrative and financial management of water system | 1 month |
| 5 | Tuilcanabaj: Final design and layout of water system | 1 month |
| 6 | Tuilcanabaj: Construction of source capture tank, installation of perimeter fence | 4 months |
| 7 | Tuilcanabaj: Excavation, acquisition, and installation of pipelines | 4 months |
| 8 | Tuilcanabaj: Construction of intermediate tank, installation, connection and testing of pumps at source tank and at intermediate tank | 3 months |
| 9 | Tuilcanabaj: Commissioning, testing and stabilization of system | 1 month |
| 10 | Tuilcanabaj: WASH training for Water Committee, key community representatives in the community, and 307 students and 18 teachers in 1 school | 2 months |
| 11 | Tuilcanabaj: Train COCODE on proper administrative and financial management of water system | 1 month |
| 12 | Paquix: Final design and layout of water system | 1 month |
| 13 | Paquix: Construction of source capture tank, installation of perimeter fence & pump | 3 months |
| 14 | Paquix: Construction of distribution tank and pump and protective fencing | 2 months |
| 15 | Paquix: Excavation, acquisition and installation of pipelines | 4 months |
| 16 | Paquix: Construction of 4 wash tanks for 4 hamlets. Installation of one faucet for every 10 households | 3 months |
| 17 | Paquix: Construction of 87 VIP latrines, 6 handwash stations, in 3 hamlets for households | 3 months |

| | | |
|----|---|----------|
| | and schools and 3 water supply tanks for sanitation in 3 schools | |
| 18 | Paquix: Commissioning, testing and stabilization of system | 1 month |
| 19 | Paquix: WASH training key community representatives from each hamlet, in 4 hamlets, 356 students and 22 teachers in 4 schools | 2 months |
| 20 | Paquix: Train COCODE on proper administrative and financial management of water system | 1 month |
| 21 | All 3 communities: Engage parents and children in safe water use, latrine use, water storage and solid waste management | 1 month |
| 22 | All 3 communities: Organize and train 6 WASH clubs in the schools | 1 month |
| 23 | All 3 communities: Conduct follow up with WASH principals to help with sustainability | 1 month |

Will you work in coordination with any related initiatives in the community?

No

Please explain. Are local initiatives not addressing these needs? Or, if they are, why did you decide not to work with them?

There are no local initiatives currently

Please describe the training, community outreach, or educational programs this project will include.

In collaboration with World Vision we will use their tried and tested training methodology called PHAST (Participatory Hygiene and Sanitation Transformation).

Educational programs will also focus on training the COCODEs in financial management, tariff establishment and collection as well as system monitoring and maintenance.

Community outreach starts right from the launch of the project because the communities have (where applicable) committed to provide:

Skilled and unskilled labor

Construction material (sand, gravel, etc.)

How were these needs identified?

While most of these communities do pay for use of available water (where applicable), this is at a basic minimal level. However, when direct water supply is made available they will need to be trained on water storage and usage at the household level and management of the water "utility" once it is established.

What incentives (for example, monetary compensation, awards, certification, or publicity), will you use, if any, to encourage community members to participate in the project?

The primary incentive we have to offer is the promise of consistent and easy access to clean water. In addition, as construction progresses, in collaboration with World Vision, individuals within the community will be taught skills such as plumbing and system monitoring and maintenance - marketable skills that they can then offer neighboring communities.

List any community members or community groups that will oversee the continuation of the project after grant-funded activities conclude.

In each community, the key group that will oversee the continuation and sustainability of the project consists of:

The COCODE

The Municipal representative

Budget

Will you purchase budget items from local vendors?

Yes

Explain the process you used to select vendors.

Rotary & World Vision will jointly manage the supplier and vendor selection process. A Program Management Committee (PMC) has been established to oversee the process and will follow RI guidelines of obtaining at least 3 quotes (for major items) and performing due diligence.

The PMC includes a total of 8 members (4 from Rotary Guatemala, 2 from Cupertino Rotary and 2 from World Vision Guatemala)

While local vendors and sub-contractors have been identified on the basis of World Vision's relationships and experience, this has been used to arrive at the budget numbers. There **WILL** be a process for competitive bidding (whenever possible) for due diligence to arrive at the best price and terms.

Did you use competitive bidding to select vendors?

Yes

Please provide an operations and maintenance plan for the equipment or materials you anticipate purchasing for this project. This plan should include who will operate and maintain the equipment and how they will be trained.

For every water "utility", members of the community will be pulled together to form a water committee that is in charge of the water system to protect it, to manage the collections, and repairs. So part of the incentive is the training that WV and Rotary provides in how to do the repairs. Repair kits will be provided and also a link will be provided to local artisans for help when there is a need for bigger repairs. The committee will have a bank account and WV will train them in the business aspects of managing the water utility.

Every person in the community is required to have some level of ownership from the beginning. This means that we look for whatever local contribution they are able to provide. In many cases this includes men (and women) digging trenches or providing some local cash or even providing food for the workers.

The committee will conduct trainings with all the community members. This includes the community leaders as well. The committee will also have the local authorities from the government involved to help build their capacity in the monitoring and oversight of the project long after the grant has ended.

Describe how community members will maintain the equipment after grant-funded activities conclude. Will replacement parts be available?

At the project end it is expected that the communities, schools, community centers, and health post will have access to safe drinking water facilities and sanitation; and knowledge aimed at changing behavior of hygiene habits.

*The Water utilities will establish regulations to regulate the tariffs of the beneficiaries and ensure the proper use of the water resource in the communities. They also charge the subscribers for the water service.

* The Health Posts, under the supervision of the Ministry of Health, will monitor the community for improvements in health and hygiene once clean water is easily available

As previously addressed repair kits will be provided and links will be provided to commercial plumbers should it be necessary.

If the grant will be used to purchase any equipment, will the equipment be culturally appropriate and conform to the community's technology standards?

Yes

Please explain.

There is nothing in the proposed project that is culturally inappropriate.

After the project is completed, who will own the items purchased by grant funds? No items may be owned by a Rotary district, club, or member.

All of the items purchased or constructed will be the sole ownership of the community.

Funding

Does your project involve microcredit activities?

Have you found a local funding source to sustain project outcomes for the long term?

Yes

Please describe this funding source.

The Water Utilities establish rules to regulate the tariffs of the beneficiaries and ensure the proper use of the water resources in the communities. They also charge the subscribers for the water service. The project includes the installation of water meters, where there are none, to ensure fair usage and billing.

In addition, the municipal governments have indicated that they will budget for major repairs.

Will any part of the project generate income for ongoing project funding? If yes, please explain.

These water systems will help the economics in these target areas by increasing the strength of their agricultural sector.

Fees and tariffs will be collected from the users.

Supporting Documents

- AQueCosto_Water_system_Agua_(Caseri os__Los_Cuchumatanes__La_Laguna__La_Unio n_y_Nuevo_Pin.
- AQueCosto_Water_system_Agua_Palestina_de_Los_Altos.xlsx
- AQueCosto_Water_system_Agua_Tuilcanabaj.xlsx
- Global_grant_training_plan_(EN)__GG2350854.docx
- Implementation__Oversight_and_Reporting_Plan.docx
- Palestina_Autorizacion_Estudio_Tecnico.pdf
- Palestina_Global_grants_community_assessment_results.docx
- Palestina_Schools_HCF.zip
- Paquix_Commitments.zip
- Paquix_Global_grants_community_assessment_results.docx
- Paquix_Schools.zip
- Training_GirlTalk_CHARLEMOS.xlsx
- Training_Methodologies.zip
- Training_Nurturing_Care_Groups_DE_COSTOS_NCG.xlsx
- Training_WashUp_L_F.xlsx
- Tuilcanabaj_Commitments.zip
- Tuilcanabaj_Schools.zip
- WASH-behavior-change.pdf
- WASH_Ops_Plan_for_Communities.xlsx

- WASH_Ops_Plan_for_Schools.xlsx
- WASH_Ops_and_maintenance_for_HCFs-Palestina_and_Paquix.xlsx

Authorizations

Authorizations & Legal Agreements

Legal agreement

Global Grant Agreement - to be authorized by the primary contacts and club presidents (or DRFC chairs if district-sponsored)

This Global Grant Agreement (Agreement) is entered into by The Rotary Foundation of Rotary International (TRF) and the grant sponsors (Sponsors). In consideration of receiving this Rotary Foundation Global Grant (Grant) from TRF, the Sponsors agree that:

1. All information contained in this application is, to the best of our knowledge, true and accurate.
2. We have read the Terms and Conditions for Rotary Foundation Global Grants (Terms and Conditions) and will adhere to all policies therein.
3. The Sponsors shall defend, indemnify, and hold harmless Rotary International (RI) and TRF, including their respective directors, trustees, officers, committee members, employees, agents, associate foundations and representatives (collectively Rotary), from and against all claims, including but not limited to claims of subrogation, demands, actions, damages, losses, costs, liabilities, expenses (including reasonable attorney's fees and other legal expenses), awards, judgments, and fines asserted against or recovered from Rotary arising out of any act, conduct, omission, negligence, misconduct, or unlawful act (or act contrary to any applicable governmental order or regulation) resulting directly or indirectly from a Sponsor's and/or participant's involvement in grant-funded activities, including all travel related to the grant.
4. The failure of the parties to comply with the terms of this Agreement due to an act of God, strike, government regulation, war, fire, riot, civil unrest, hurricane, earthquake, or other natural disasters, acts of public enemies, curtailment of transportation facilities, political upheavals, civil disorders, outbreak of infectious disease or illness, acts of terrorism, or any similar cause beyond the control of the parties shall not be deemed a breach of this Agreement. In such an event, the Agreement shall be deemed terminated and the Sponsors shall refund to TRF all unexpended global grant funds within 30 days of termination.
5. TRF's entire responsibility is expressly limited to payment of the total financing amount. TRF does not assume any further responsibility in connection with this grant.
6. TRF reserves the right to cancel the grant and/or this Agreement without notice upon the failure of either or both of the Sponsors to abide by the terms set forth in this Agreement and the Terms and Conditions. Upon cancellation, TRF shall be entitled to a refund from the Sponsors of any global grant funds, including any interest earned, that have not been expended.
7. The laws of the State of Illinois, USA, without reference to its conflicts of laws principles, shall govern all matters arising out of or relating to this Agreement, including, without limitation, its interpretation, construction, performance, and enforcement.
8. Any legal action brought by either party against the other party arising out of or relating to this Agreement must be brought in either, the Circuit Court of Cook County, State of Illinois, USA or the Federal District Court for the Northern District of Illinois, USA. Each party consents to the exclusive jurisdiction of these courts, and their respective appellate courts for the purpose of such actions. Nothing herein prohibits a party that obtains a judgment in either of the designated courts from enforcing the judgment in any other court. Notwithstanding the foregoing, TRF may also bring legal action against Sponsors and/or individuals traveling

on grant funds in any court with jurisdiction over them.

9. This Agreement binds and benefits the parties and their respective administrators, legal representatives, and permitted successors and assigns.

10. If any provision of this Agreement is determined to be illegal, invalid or unenforceable, the remaining provisions of this Agreement shall remain in full force and effect.

11. Sponsors may not assign any of their rights under this Agreement except with the prior written consent of TRF. Sponsors may not delegate any performance under this Agreement without the prior written consent of TRF. Any purported assignment of a Sponsor's rights or delegation of performance without TRF's prior written consent is void.

12. TRF may assign some or all of its rights under this Agreement to an associate foundation of TRF. TRF may delegate any performance under this Agreement to an associate foundation. Any other purported assignment of TRF's rights or delegation of performance without the Sponsors' prior written consent is void.

13. Sponsors will comply with all economic and trade sanctions, including those implemented by the Office of Foreign Assets Control (OFAC) of the United States Department of Treasury, and will ensure that they do not support or promote violence, terrorist activity or related training, or money laundering.

14. This Agreement constitutes the final agreement between the parties. No amendment or waiver of any provision of this Agreement shall be effective unless it is in the form of a writing signed by the parties.

15. Rotary may use information contained in this application and subsequent reports for promotional purposes, such as in Rotary magazine, in Rotary Leader, on rotary.org and on social media. For any and all photographs submitted with any application or follow-up report, the Sponsor hereby grants to Rotary an unlimited, perpetual, worldwide right and license to use, modify, adapt, publish, and distribute the photograph(s) in any media now known or hereafter devised, including but not limited to, in Rotary publications, advertisements, and Websites and on social media channels. The Sponsor represents and warrants that (a) each adult appearing in the photograph(s) has given her/his/their unrestricted written consent to the Sponsor to photograph them and to use and license their likeness, including licensing the photograph(s) to third parties, (b) the parent or guardian of each child under age 18 or each person who lacks legal capacity appearing in the photograph(s) has given unrestricted written consent to the Sponsor to photograph the child or individual and to use and license their likenesses, including licensing the photograph(s) to third parties, and (c) it is the copyright owner of the photograph(s) or that the copyright owner of the photograph(s) has given the Sponsor the right to license or sublicense the photograph(s) to Rotary.

16. Privacy is important to Rotary and any personal data that the Sponsor shares with Rotary will only be used for official Rotary business. The Sponsor should minimize the personal data of Grant beneficiaries that it shares with TRF to only personal data that TRF specifically requests. Personal data that is shared with TRF will be used to enable the Sponsor's participation in this Grant process, to facilitate the Sponsor's Grant experience and for reporting purposes. Personal data provided to TRF may be transferred to Rotary service providers (for example, affiliated entities) to assist Rotary in planning Grant-related activities. By applying for a grant, the Sponsor may receive information about the Grant and supplementary services via email. For further information about how Rotary uses personal data, please contact privacy@rotary.org. Personal data provided to TRF or collected on this form is subject to [Rotary's Privacy Policy](#).

17. The Sponsors agree to share information on best practices when asked, and TRF may provide their contact information to other Rotary members who may wish advice on implementing similar activities.

18. The Sponsors will ensure that all individuals traveling on grant funds have been informed of the travel policies stated in the Terms and Conditions and have been made aware that they are responsible for obtaining travel insurance.

19. To the best of our knowledge and belief, all relationships between grant committee members, district officers, and other members of the sponsor clubs or districts and any scholarship recipients, cooperating

organizations, project vendors, or other individuals or organizations that will benefit from the grant have been disclosed in this application. Except as disclosed here, neither we nor any person with whom we have or had a personal or business relationship will benefit or intends to benefit from Rotary Foundation grant funds or have any interest that may represent a potential conflicting interest. A conflict of interest occurs when someone is in a position to make or influence a decision about a grant or scholarship that could benefit them, their family, their business, or an entity in which they serve in a paid or voluntary leadership or advisory position.

Primary contact authorizations

Global Grant Agreement - to be authorized by the primary contacts and club presidents (or DRFC chairs if district-sponsored)

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personal or business relationship will benefit or intends to benefit from Rotary Foundation grant funds or have any interest that may represent a potential conflicting interest. A conflict of interest occurs when someone is in a position to make or influence a decision about a grant or scholarship that could benefit them, their family, their business, or an entity in which they serve in a paid or voluntary leadership or advisory position.

District Rotary Foundation chair authorization

I hereby certify that this global grant application is complete, meets all Foundation guidelines, is eligible for funding, and that the sponsoring club and/or district is qualified.

All Authorizations & Legal Agreements Summary

Primary contact authorizations

| Name | Club | District | Status | |
|----------------|----------------------------------|----------|------------|--------------------------|
| Jagi Shahani | Cupertino [Rotary Club] | 5170 | Authorized | Authorized on 25/03/2024 |
| Francisco Viau | Guatemala Sur [Rotary Club] | 4250 | Authorized | Authorized on 25/03/2024 |

District Rotary Foundation chair authorization

| Name | Club | District | Status | |
|-------------------------|------------------------------|----------|------------|--------------------------|
| Orrin Mahoney | Cupertino [Rotary Club] | 5170 | Authorized | Authorized on 26/03/2024 |
| José Interiano Torre | Usula [Rotary Club] | 4250 | Authorized | Authorized on 28/03/2024 |

DDF authorization

| Name | Club | District | Status | |
|---------------|------------------------------|----------|------------|--------------------------|
| Orrin Mahoney | Cupertino [Rotary Club] | 5170 | Authorized | Authorized on 26/03/2024 |
| Hung Wei | Cupertino [Rotary Club] | 5170 | Authorized | Authorized on 26/03/2024 |
| Kevin Padilla | Placentia [Rotary Club] | 5320 | Authorized | Authorized on 30/03/2024 |

| | | | | |
|-------------------------|--|------|------------|--------------------------|
| Roy Jefferson | Anaheim Hills [Rotary Club] | 5320 | Authorized | Authorized on 30/03/2024 |
| Joseph Scheimer | Fair Oaks [Rotary Club] | 5180 | Authorized | Authorized on 28/03/2024 |
| Sidney Smith | Elk Grove [Rotary Club] | 5180 | Authorized | Authorized on 03/04/2024 |
| Lawrence Stone | Oklahoma City [Rotary Club] | 5750 | Authorized | Authorized on 26/03/2024 |
| Jeanean Yanish Jones | Oklahoma City [Rotary Club] | 5750 | Authorized | Authorized on 02/04/2024 |
| Kaj Pedersen | Bellevue Breakfast [Rotary Club] | 5030 | Authorized | Authorized on 26/03/2024 |
| Jesse Tam | Renton [Rotary Club] | 5030 | Authorized | Authorized on 27/03/2024 |
| Michael Soden | Green Valley (Henderson) [Rotary Club] | 5300 | Authorized | Authorized on 29/03/2024 |
| Mark Mariscal | Altadena [Rotary Club] | 5300 | Authorized | Authorized on 25/03/2024 |
| José Interiano Torre | Usula [Rotary Club] | 4250 | Authorized | Authorized on 28/03/2024 |
| Maria Price | Belize [Rotary Club] | 4250 | Authorized | Authorized on 28/03/2024 |
| Mary Bak | Glenview-Sunrise [Rotary Club] | 6440 | Authorized | Authorized on 27/03/2024 |
| James Swarthout | Fox Valley Sunset (Elgin/Algonquin/C rystal Lake) [Rotary Club] | 6440 | Authorized | Authorized on 27/03/2024 |
| John Tabor | Orange Park | 6970 | Authorized | Authorized on 28/03/2024 |

| | | | | |
|------------------|---|------|------------|--------------------------|
| | Sunrise [Rotary Club] | | | |
| Richard Turnbull | St. Augustine Beach [Rotary Club] | 6970 | Authorized | Authorized on 28/03/2024 |

Legal agreement

| Name | Club | District | Status | |
|-------------------------|------------------------------|----------|----------|------------------------|
| Orrin Mahoney | Cupertino [Rotary Club] | 5170 | Accepted | Accepted on 26/03/2024 |
| José Interiano Torre | Usula [Rotary Club] | 4250 | Accepted | Accepted on 28/03/2024 |