



District 6420 Foundation Financial Review Committee Final Meeting Report of 30 Sep 2024 meeting

The following is a final report which relating to the committee findings and recommendations for the Foundation financial review the year of 2023-2024. At the meeting a motion was made and seconded to approve this report and the Motion passed without objection. The purpose for the committee is to review the District 6420 administration of grant activities and programs, in compliance with RI document 300-EN, Section 5 Summary: Annual Financial Assessment for Rotary Year 2023-24.

Committee Members Attending:

Kathy Kwiat-Hess
Member
Steve Bouslog
Member
Jenny Redington
Member
Laura Kann
PDG and District Foundation Chair
Robert Canfield
FFRC Chair 2023-2024

Ex-Officio Members Attending

Dave Emerick
IPDG
Jim Stoddard
Member of Foundation Committee

1. Process for Qualifying Clubs:

The following have been reported:

- a. Both the Club PE's and District Grant or Foundation Chair attended a training on how to apply for and conduct District Grants;
- b. An MOU from the Rotary Foundation was signed by every club;
- c. An MOU from District 6420 was signed by every club;
- d. Club goals were submitted on Rotary Club Central;
- e. Each club or a Rotarian from each club donated to the Rotary Foundation Annual fund; and
- f. Each club that had a District Grant the prior Rotary year submitted a District Grant Final Report with the exception of DeKalb Rotary and Sycamore Rotary who did not submit a final report and are therefor ineligible for a 2024-2025 District Grant. (See Attachment 3)
- g. Each club has a Foundation Chair.
- h. That the final reports from each club as indicated above were reviewed and approved by Kelly Giovanine and Sue Rector.

2. Financial Management Plan Proposal:

A copy of the Dist. 6420 Grants Financial Management Plan [dated June 2018] is attached (Attachment #1). The District Foundation Grants Chair maintains copies of club grant application forms received as well as all district grant contingency fund documents. All checks written for the fiscal year have cleared the bank and been reconciled to the account.

3. Expenditure for District and Global Grants:

A summary of Rotary District 6420 checking account and copies of the monthly checking account statement detailing the transaction for the Rotary fiscal year of 2023-2024 is attached (attachment #2). The account balance as of the Beginning of the 2022-2024 fiscal year (being carried over from the prior fiscal year) was \$1,069.13, which funds were returned to Rotary International Foundation on September 11, 2023 as it exceeded \$1,000.00, leaving an effective beginning account balance of \$0.00. The account balance at the end of the 2023-2024 fiscal year was \$402.28 (See attachment #2). The District received \$44,336.00 from RI on 21 Jul 2023, \$39,736.00 was immediately disbursed to all those Clubs with approved grant projects for the 2023-2024 fiscal year (See attachment #3 which includes a listing of each club, the purpose of the grant, the monies received, whether they were joined with another club, and if they submitted a final report as required).

On 28 Nov 23, there was a payment of \$197.72 for Check Order Cost leaving a balance of \$4,402.28.

On 21 Jun 24, in accordance with a vote of the District Foundation Committee and approval from Rotary International, there was a disbursement and distribution to the Northern Illinois Food Bank in the amount of \$2,000.00 and a disbursement and distribution to the River Bend Food Bank in the amount of \$2,000.00, leaving a final balance of \$402.28. All financial reports are maintained for a minimum of 7 years. See attachment #2 and #3 for more information.

4. District Bank Account:

It was previously noted in the Report for 2019-20 that current checks in use were then issued by the prior owner of the bank – Alpine Bank of Rockford. The current named owner of the bank is now Midland Bank. It was agreed that new checks be purchased when (and not before) required by the bank. That purchase was made on 28 Nov 23 by payment of \$197.72 for Check Order Cost

Current procedures for the Bank Account:

- a. Who are the signatories on the bank account (from or at any time during the period from 1 Jul 2023 to 30 Jun 2024)? (Primary and secondary)

§ Rhonda Sunden and Patricia Fong.

- b. What is the district policy on selecting account signatories?
- § No formal policy exists. Informally, all signatories must be Rotarians-in-good-standing with the District and all disbursements require 2 signatures. See the answer to d. below.
- c. What are the terms of office for the signatories?
- § There is no formal term of office.
- d. What is the procedure for changing account signatories
- § Change of signatory documents must be signed by the incoming signatories. Written action by the District 6420 Board of Directors must be provided at the time of signatory transfer.
- e. What is the policy for setting the term(s) of office?
- § There is no policy for term of office. Terms of office are controlled by the District 6420 Board of Directors.
- f. What is the district's and bank's policy for changing signatories?
- § Written action by the District 6420 Board of Directors must be provided at the time of signatory transfer. District Foundation funds are currently held by Midland Bank, Rockford, IL (formally Alpine Bank).
- g. Does bank allow district to open multiple accounts?
- § There is no bank prohibition for the district holding multiple accounts. However district maintains a single account for all foundation activities.
- h. Do local laws allow opening of separate accounts for each grant?
- § To the best of current knowledge, there are no legal prohibitions for maintaining separate accounts for each grant. However, the current district practice is to maintain a single account for all foundation activities.
- i. What controls are in place to prevent funds commingling?
- § The district Foundation Financial Review Committee meets annually to review funds transactions and policies and to make recommendations for improvement where or if needed.
- j. Who maintains financial records, including bank statements and canceled checks?
- § The district Foundation Fund Officer.
- k. What procedure exists for transferring custody of the grant bank account?
- § The District 6420 Board of Directors would be required to take action on behalf of the District Foundation Committee to effect a change of account from one bank to another. The District Foundation Chair appoints the

Foundation Fund Officer and is responsible for administering the change of account custody when a change in fund officers occurs.

5. Grant-Related Financial Transactions:

All funds unused by clubs are returned to the district and then forward to the Rotary Foundation for deposit in the District 6420 World Fund account. It is current Rotary Foundation policy that up to \$1,000 in residual administrative grant funds may be maintained at the district level and may be used for contingency purposes, with prior approval by RI. District administrative grant funds may be used for district Grants Management Training.

6. Monitoring of Grant Activities and Reporting:

- a. All checks for the 2023-24 fiscal year have been written, bank cleared, and reconciled.
- b. Bank statements are current and electronically maintained.
- c. Clubs are required to use the District Grant Acceptance Form which is maintained by the District Grants Chair.

7. Document Retention Practices:

Current practice is to retain all foundation-related documents in scanned format for at least 7 years, except where otherwise required that printed copies be maintained or for a longer time period if required.

8. Qualification Practices:

All requirements are as indicate paragraph and listed in the district's ClubRunner site. Clubs are notified of the process and notified when results are available (see Attachment #4).

9. Process for Reporting and Resolving Misuse of Grant Funds:

The Rotary District 6420 District Procedures for Addressing Misuse and/or Mismanagement of Grant Funds was implemented on 22 Sep 2018; a copy is attached (see Attachment #3). The District Grants Chair reconciles all club project close-out reports with their original proposals, and resolves any discrepancies. Should discrepancies be found, the Grants Chair notifies the District Foundation Chair who determines should there be a need for additional action and, if so, implements it in accordance with the district procedure.

10. Overall Compliance with District MOU:

The district Foundation Financial Review Committee meets annually to review all Foundation financial activities to assure compliance with the RI 300-EN Memo of Understanding requirements.

11. Identified Gaps in Oversight:

No apparent gaps in oversight were noted. All final reports were reviewed and compared to distribution.

12. Procedures Approved from Prior Recommendations:

- a. All prior printed bank statements received prior to the current electronic statements have been scanned and maintained in the same manner as the current electronic statements by the Grant Records Custodian and all printed bank statements were disposed and destroyed after they were properly scanned.
- b. All bank statements and all district foundation records (in scanned format) are now disposed and destroyed after seven years from the date of the statement or publication of the record, unless a longer period is required. The prior length of retention was five years.
- c. All clubs, without exception, must meet any and all deadlines for submissions of grant proposals to ensure consideration for funding. It is the club's responsibility to see that proposals are received on time. Failure to meet deadlines will eliminate the club from grant consideration for that fiscal Rotary year.
- d. All club Memos of Understanding (MOUs) submitted, in addition to the required signatures, shall also contain the printed name of the signatories. The District Grants Chair shall verify the name of each MOU signatory. Further, effective with the 2019-2020 fiscal year, all Club MOUs are being maintained electronically.
- e. The District Foundation Committee shall publish, in the District Newsletter, a report detailing the prior year's grants to all clubs, which report shall include, as a minimum, the following:
 1. Club Name;
 2. Grant Amount;
 3. Grant type (i.e. scholarship, global grant, district designated fund grant, etc.);
 4. Complete description of the foundation-funded activity or project.
- f. That the District Governor Line (DGN, DGE, DG, IPDG, and VG) shall be invited to Foundation Financial Review Committee meetings.
- g. That the District Foundation shall not carry over more than \$1,000.00 from the end of one year to the next year. In the Spring of each year, the District Treasure and District Foundation Chair communicate with each other to insure that the ending balance of the District Foundation not exceed \$1, 000.00.

13. Recommendations for Future Improvements:

That, in choosing a District Foundation signatory for the Foundation bank account(s), the signatory should, if possible, be employed by or retired from a banking, accounting or similar institution or firm, be a member of the foundation committee (as a result of being a signatory), and be physically located to be able to sign and deposit checks with Foundation's banking institution.

And, that the District Financial Management Plan be reviewed and updated as needed.

List of Attachments to this Report (Five):

1. *District Financial Management Plan (2018 Stewardship Subcommittee);*
2. *Grants Financial Account - Checking Account Summary and Details (monthly bank accounts) for the period of July 1, 2022 to June 30, 2023;*
3. *Approved 2023-2024 District Grants, including purpose and funds granted, and listing of clubs who filed, or failed to file, a District Grant Final Report;*
4. *District Procedure for Addressing Misuse and/or Mismanagement of Grant Funds; and*

Prepared by: *Robert Canfield* Date: This 30th day of September, 2024
Robert Canfield – FFRC Chair 2022-23

Approved by: *Laura Kann* Date: This 30 day of September, 2024
Laura Kann – District 6420 Foundation Chair



**District 6420 Foundation Financial
Review Committee Meeting Report
for: 30-Sept-2024**

ATTACHMENT ONE

Report of Stewardship Subcommittee

as to

District Financial Management Plan

June of 2018

ROTARY DISTRICT 6420
STEWARDSHIP SUBCOMMITTEE

June 2018

The Stewardship Subcommittee is responsible for ensuring the careful management of Rotary grant funds and for educating Rotarians on effective grant management. Two documents form the basis for Subcommittee responsibility and oversight. The first is the Financial Management Plan which is outlined in this Subcommittee description.

The second is the District's Policy for Addressing Misuse of Grant Funds. Membership shall include the Subcommittee chair and no fewer than two additional District Rotarians. *Ex officio* members (with voting privileges) include:

1. District Rotary Foundation Committee Chair (DRFCC)
2. District Governor (DG)
3. District Governor Elect (OGE)
4. Grants Subcommittee Chair
5. District Rotary Foundation Committee (DRFC) Financial Officer

Responsibilities include:

1. Assisting with implementing of the Club and District Memorandum of Understanding.
2. Helping with grant management seminars and qualifying club.
3. Working with the Grants Subcommittee to implement stewardship and management practices, including reporting, for all clubs.
4. Monitoring and evaluating stewardship and management practices for all clubs.
5. Ensuring that everyone involved in a grant avoids any actual or perceived conflict of interest.
6. Forming a procedure for resolving any misuse or irregularities in grant-related activities, reporting them to the Foundation, and conducting initial local investigations into reports of misuse.
7. Ensuring that the Annual Financial Assessment is performed correctly.

Financial Management Plan

Guiding Principles

1. All projects funded with District and Global Grant money will comply with The Rotary Foundation (TRF) rules.
2. District Grants will be awarded in accordance with District Grant guidelines.

3. All projects funded by a District Grant must be proposed, managed, and directed by Rotarians..
4. All activities associated with any grant must be verifiable and auditable.
5. District administration of District and Global Grants will be in conformance with the requirements of the District Memorandum of Understanding (MOU) with TRF.
6. Submission, review, and funding of all District and Global Grant applications will be
7. in conformance with the requirements of District 6420's Grant Guidelines.
8. Successful club applications will be funded and publicized as soon as practicable following July 1 of the relevant Rotary year.

District Officer Responsibilities

The DG, DGE, and DRFCC hold primary responsibility for Club and District qualification, in addition to overseeing the proper implementation of TRF grants. While these responsibilities may be delegated to others (e.g., Stewardship Subcommittee, Grants Subcommittee), final responsibility remains with the three District Officers.

Financial Management

1. The District will establish a separate bank account for monies used to fund club grants (District Designated Funds or DDF). Such account shall be low or non-interest bearing. DDF shall not be co-mingled with any other District accounts.
2. Two signatories are required for any check written on this account. The signatories
3. may include the DG and DRFCC. However, others approved mutually by the DG and DRFCC can be designated signatories.
4. A ledger will be kept for this account using generally accepted accounting practices.
5. A financial assessment of financial controls and compliance will be conducted annually.
6. A detailed report of the management of the District's DDF will be provided to all clubs within three (3) months of the year's end, and will be presented at the annual meeting of the district for approval.
7. Bank statements will be available to support all receipts and disbursements for the use of TRF grant funds.

Document Retention

It is the District's responsibility to maintain appropriate documentation to meet the requirements of TRF.

1. All documents related to each grant must be retained by clubs for a period of not less than seven years, and must be accessible to the Stewardship Subcommittee or other appropriate District entities upon request.
2. District documents will be stored in paper format with conversion to electronic format in process as of 2019. At such point as all current and future records are stored electronically, paper documents will be maintained for the previous grant years until the expiration of the seven-year required period.
3. Documents to be retained include those required for District Qualification, Club Qualification, and for District, Global, and Packaged Grants.
4. Clubs must immediately report suspected misuse or mismanagement of grant funds to the DG, OGE, DRFCC, or Stewardship Subcommittee Chair.
5. District records must be accessible to all Rotarians in the District, and shall include, but are not limited to, the District Spending Plan (block grant application), Financial Assessment Report, and all grant documentation.
6. Documents will be maintained for a minimum of seven years or longer if required by local law.

Reporting Requirements

It is the responsibility of the Club Project Committee to file all reports in accordance with the timelines as published in the District 6420 District Grant guidelines and District 6420 Global Grant guidelines.

Clubs will provide final reports on their District Grants no later than May 15 of the appropriate Rotary year. Failure to do so will make the club ineligible to receive a District Grant the following year.

Global Grant reports are due as specified by TRF.

Unused Funds

Any funds in excess of \$50.00 for a District Grant that are not used by the Club for the project must be returned to the District with the final report, not later than May 15 of the appropriate Rotary year. These unused funds will be deposited into the District Grants account, and ultimately will be returned to TRF.



**District 6420 Foundation Financial
Review Committee Meeting Report
for: 30-Sep-2024**

ATTACHMENT TWO

Grants Financial Account

Checking Account Summary, Details and Monthly Statements

July 1, 2023 to June 30, 2024

DATE: 6/30/24
 BY: RS
 FILE NAME:

Rotary District 6420
 Checking Account Detail
 FYE 06/30/24

District Grant/DG

	Check #	Carried Forward Balance	Club DDF Projects	Contingency	Admin	Total
			44,336.00			1,069.13
07/21/23 Grant Funds Deposited						44,336.00
07/24/23 Belvidera Rotary Club	3292		(805.00)			(805.00)
07/24/23 Byron Rotary Club	3293		(1,032.00)			(1,032.00)
07/24/23 DeKalb Rotary Club	3294		(1,032.00)			(1,032.00)
07/24/23 Dixon Rotary Club	3295		(1,032.00)			(1,032.00)
07/24/23 Galena Rotary Club	3296		(1,032.00)			(1,032.00)
07/24/23 Geneseo Rotary Club	3297		(1,032.00)			(1,032.00)
07/24/23 Henry Rotary Club	3298		(1,032.00)			(1,032.00)
07/24/23 Illinois Valley Sunrise	3299		(1,032.00)			(1,032.00)
07/24/23 LaSalle Rotary	3300		(1,032.00)			(1,032.00)
07/24/23 Peru Rotary	3301		(1,032.00)			(1,032.00)
07/24/23 Kishwaukee Sunrise	3302		(1,032.00)			(1,032.00)
07/24/23 Loves Park Rotary	3303		(1,032.00)			(1,032.00)
07/24/23 Marseilles Rotary	3304		(1,032.00)			(1,032.00)
07/24/23 Mendota Rotary	3305		(1,032.00)			(1,032.00)
07/24/23 Moline Rotary	3306		(1,032.00)			(1,032.00)
07/24/23 Morrison Rotary	3307		(1,032.00)			(1,032.00)
07/24/23 Sterling Noon Rotary	3308		(1,032.00)			(1,032.00)
07/24/23 Twin Cities Rotary	3309		(1,032.00)			(1,032.00)
07/24/23 Mt. Carroll Rotary	3310		(1,032.00)			(1,032.00)
07/24/23 Oregon Rotary	3311		(1,032.00)			(1,032.00)
07/24/23 Ottawa Noon Rotary	3312		(1,032.00)			(1,032.00)
07/24/23 Ottawa Sunrise Rotary	3313		(1,032.00)			(1,032.00)
07/24/23 Pecatonica Rotary	3314		(1,032.00)			(1,032.00)
07/24/23 Plano Rotary	3315		(1,032.00)			(1,032.00)
07/24/23 Princeton Rotary	3316		(1,032.00)			(1,032.00)
07/24/23 Putnam County Rotary	3317		(1,032.00)			(1,032.00)
07/24/23 Quad Cities Rotary	3318		(1,032.00)			(1,032.00)
07/24/23 River Cities Rotary	3319		(1,032.00)			(1,032.00)
07/24/23 Rochelle Rotary	3320		(1,032.00)			(1,032.00)
07/24/23 Rock Falls Rotary	3321		(1,032.00)			(1,032.00)
07/24/23 Rock Island Rotary	3322		(1,032.00)			(1,032.00)
07/24/23 Rockford East CV Rotary	3323		(1,032.00)			(1,032.00)
07/24/23 Rockford Rotary	3324		(1,032.00)			(1,032.00)
07/24/23 Rockton/Roscoe Rotary	3325		(746.00)			(746.00)
07/24/23 Sandwich Rotary	3326		(733.00)			(733.00)
07/24/23 Savanna Rotary	3327		(1,032.00)			(1,032.00)
07/24/23 Sycamore Rotary	3328		(1,032.00)			(1,032.00)
07/24/23 Toluca Rotary	3329		(1,032.00)			(1,032.00)
07/24/23 Twin Rivers RAH	3330		(600.00)			(600.00)
07/24/23 Walnut Rotary	3331		(1,032.00)			(1,032.00)
						Balance 5,669.13
09/11/23 The Rotary Foundation	3332		(1,069.13)			(1,069.13)
						Balance 4,600.00
09/20/23 Midland States Bank - Account Analysis Fee Charge			(7.14)			(7.14)
09/20/23 Midland States Bank - Account Analysis Fee Refund			7.14			7.14
10/10/23 Midland States Bank - Account Analysis Fee Charge			(3.00)			(3.00)
11/21/23 Midland States Bank - Account Analysis Fee Refund			3.00			3.00
11/10/23 Midland States Bank - Account Analysis Fee Charge			(3.00)			(3.00)
11/21/23 Midland States Bank - Account Analysis Fee Refund			3.00			3.00
11/28/23 Midland States Bank - Check order cost			(197.72)			(197.72)
						Balance 4,402.28
06/21/24 Northern Illinois Food Bank	3333		(2,000.00)			(2,000.00)
06/21/24 River Bend Food Bank	3334		(2,000.00)			(2,000.00)
						Balance 402.28



1201 Network Centre Drive Effingham, IL 62401

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 Period Beginning July 03, 2023
 Period Ending July 31, 2023
 Days In Period 29
 Statement Date July 31, 2023

How to contact us
 Customer Care 1-855-696-4352

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ROTARY INTERNATIONAL DISTRICT 6420
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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$43,341.13

IMPORTANT NOTICE

\$

Upcoming Paper Statement Fee

A monthly \$3 fee for paper statements will be implemented on business checking accounts starting on August 1, 2023. There will continue to be no charge for online statements. If you are also receiving paper statements, you can avoid the fee by opting out of paper statements. To receive online statements only, please contact your Commercial Relationship Manager or call 855-776-6435.

Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	000000070077805	Previous Balance	\$1,069.13
Service Charge	\$0.00	1 Deposits	\$44,336.00
		2 Withdrawals	\$2,064.00
		Ending Balance	\$43,341.13
		Average Ledger Balance	\$17,708.30
		Average Collected Balance	\$17,708.30

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

All Credit Activity

Transaction Date	Deposit	Transaction Description
07/21	44,336.00	NURG-3400 THE ROTARY FOUND CCD 46467 RMR*K*DG2452385-001-001-PAYME NT FOR \ RMR*K*GRANT NUMBER DG2452385-

Summary of Cleared Checks

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3314	07/31	1,032.00	3318*	07/28	1,032.00



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Period Beginning July 03, 2023
Period Ending July 31, 2023
Days in Period 29
Statement Date July 31, 2023

Daily Balance Summary

<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>
07/03	1,069.13	07/28	44,373.13
07/21	45,405.13	07/31	43,341.13

How to contact us
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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$9,370.13

IMPORTANT NOTICE

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Member FDIC

Pro Essential Checking

Account#	000000070077805	Previous Balance	\$43,341.13
Service Charge	\$0.00	Deposits	\$0.00
Owner(s):	ROTARY INTERNATIONAL DISTRICT 6420	34 Withdrawals	\$33,971.00
		Ending Balance	\$9,370.13
		Average Ledger Balance	\$16,679.93
		Average Collected Balance	\$16,679.93

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date Amount Transaction Description

There were no transactions for this period.

Summary of Cleared Checks

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
171	08/04	1,032.00	3294	08/11	1,032.00 ✓
3293*	08/18	1,032.00 ✓	3295	08/23	1,032.00 ✓



Page
 Period Beginning
 Period Ending
 Days in Period
 Statement Date

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 August 01, 2023
 August 31, 2023
 31
 August 31, 2023

Summary of Cleared Checks (Continued)
 *Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3296	08/08	1,032.00 ✓	3316	08/03	1,032.00 ✓
3297	08/16	1,032.00 ✓	3317	08/01	1,032.00 ✓
3299*	08/01	1,032.00 ✓	3319*	08/10	1,032.00 ✓
3300	08/04	1,032.00 ✓	3321*	08/21	1,032.00 ✓
3301	08/07	1,032.00 ✓	3322	08/04	1,032.00 ✓
3302	08/02	1,032.00 ✓	3323	08/01	1,032.00 ✓
3303	08/03	1,032.00 ✓	3324	08/09	1,032.00 ✓
3304	08/01	1,032.00 ✓	3325	08/07	746.00 ✓
3305	08/04	1,032.00 ✓	3326	08/17	733.00 ✓
3306	08/02	1,032.00 ✓	3327	08/10	1,032.00 ✓
3307	08/04	1,032.00 ✓	3328	08/25	1,032.00 ✓
3310*	08/14	1,032.00 ✓	3329	08/07	1,032.00 ✓
3311	08/07	1,032.00 ✓	3330	08/09	500.00 ✓
3313*	08/01	1,032.00 ✓	3331	08/01	1,032.00 ✓
3315*	08/01	1,032.00 ✓	900002*	08/08	1,032.00 ✓

Daily Balance Summary

Date	Balance	Date	Balance	Date	Balance
08/01	36,117.13	08/09	19,391.13	08/18	12,466.13
08/02	34,053.13	08/10	17,327.13	08/21	11,434.13
08/03	31,989.13	08/11	16,295.13	08/23	10,402.13
08/04	26,829.13	08/14	15,263.13	08/25	9,370.13
08/07	22,987.13	08/16	14,231.13		
08/08	20,923.13	08/17	13,498.13		

How to contact us
 Customer Care 1-855-696-4352

Get current account information
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 HICKORY NC 28601



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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$6,274.13

IMPORTANT NOTICE

\$

Upcoming Paper Statement Fee

A monthly \$3 fee for paper statements will be implemented on business checking accounts starting on **August 1, 2023**. There will continue to be no charge for online statements. If you are also receiving paper statements, you can avoid the fee by opting out of paper statements. To receive online statements only, please contact your Commercial Relationship Manager or call 855-776-6435.

Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT
 6420

Account#	0000000070077805	Previous Balance	\$9,370.13
Service Charge	\$0.00	1 Deposits	\$7.14
		4 Withdrawals	\$3,103.14
		Ending Balance	\$6,274.13
		Average Ledger Balance	\$7,406.00
		Average Collected Balance	\$7,406.00

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

All Credit Activity

Transaction Date	Deposit	Transaction Description
09/20	7.14	Analysis Charge Refund

All Debit Activity

Transaction Date	Withdrawal	Transaction Description
09/20	-7.14	Account Analysis Charge



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Period Beginning
Period Ending
Days in Period
Statement Date

2 of 2
September 01, 2023
September 29, 2023
31
September 29, 2023

Summary of Cleared Checks

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3298	09/12	1,032.00	3312*	09/05	1,032.00
3308*	09/20	1,032.00			

Daily Balance Summary

Date	Balance	Date	Balance
09/01	9,870.13	09/12	7,306.13
09/05	8,338.13	09/20	6,274.13

Attachment 2, page 7



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 2
 Period Beginning October 02, 2023
 Period Ending October 31, 2023
 Days in Period 30
 Statement Date October 31, 2023

How to contact us
 Customer Care 1-855-696-4352

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 Bank by Phone 1-800-952-1529
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ROTARY INTERNATIONAL DISTRICT 6420
 3717 25TH ST PL NE
 HICKORY NC 28601



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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,597.00

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- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	000000070077805	Previous Balance	\$6,274.13
Service Charge	\$.00	Deposits	\$.00
		3 Withdrawals	\$1,677.13
		Ending Balance	\$4,597.00
		Average Ledger Balance	\$5,352.81
		Average Collected Balance	\$5,352.81

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$.00	\$.00
Total Returned Item fees	\$.00	\$.00

All Debit Activity

Transaction Date	Withdrawal	Transaction Description
10/10	-3.00	Account Analysis Charge

Summary of Cleared Checks

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3292	10/20	605.00	3332*	10/13	1,069.13



Page
Period Beginning
Period Ending
Days in Period
Statement Date

2 of 2
October 02, 2023
October 31, 2023
30
October 31, 2023

Daily Balance Summary

Date	Balance
10/02	6,274.13
10/10	6,271.13

Date	Balance
10/13	5,202.00
10/20	4,597.00



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 2
 Period Beginning November 01, 2023
 Period Ending November 30, 2023
 Days in Period 30
 Statement Date November 30, 2023

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 Customer Care 1-855-696-4352

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 3717 25TH ST PL NE
 HICKORY NC 28601



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Your Statement

Summary

Ending Balance

Pro Essential Checking \$4,402.28

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- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Account# 000000070077805
 Service Charge \$0.00

Previous Balance \$4,597.00
 2 Deposits \$6.00
 2 Withdrawals \$200.72
Ending Balance \$4,402.28
 Average Ledger Balance \$4,577.12
 Average Collected Balance \$4,577.12

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

All Credit Activity

Transaction Date	Deposit	Transaction Description
11/21	3.00	Analysis Charge Refund 10/10/23 Cust Courtesy/LHart
11/21	3.00	Analysis Charge Refund 11/10/23 Cust Courtesy/LHart

All Debit Activity

Transaction Date	Withdrawal	Transaction Description
11/10	-3.00	Account Analysis Charge



Page
Period Beginning
Period Ending
Days in Period
Statement Date

2 of 2
November 01, 2023
November 30, 2023
30
November 30, 2023

All Debit Activity (continued)

Transaction Date	Withdrawal	Transaction Description
11/28	-197.72	BUS PRODS DELUXE BUS SYS. CCD 15374666

Daily Balance Summary

Date	Balance	Date	Balance
11/01	4,597.00	11/21	4,600.00
11/10	4,594.00	11/28	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning December 01, 2023
 Period Ending December 29, 2023
 Days In Period 31
 Statement Date December 29, 2023

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Bank by Phone 1-800-952-1529
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ROTARY INTERNATIONAL DISTRICT 8420
 3717 25TH ST PL NE
 HICKORY NC 28601



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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,402.28

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- Defend against check fraud with Check Positive Pay
- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT
 6420

Account#	0000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
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There were no transactions for this period.

Daily Balance Summary

Date	Balance
12/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning January 01, 2024
 Period Ending January 31, 2024
 Days in Period 31
 Statement Date January 31, 2024

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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,402.28

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- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	0000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
------------------	--------	-------------------------

There were no transactions for this period.

Daily Balance Summary

Date	Balance
01/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning February 01, 2024
 Period Ending February 29, 2024
 Days in Period 29
 Statement Date February 29, 2024

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ROTARY INTERNATIONAL DISTRICT 6420
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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,402.28

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- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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 Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	0000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
------------------	--------	-------------------------

There were no transactions for this period.

Daily Balance Summary

Date	Balance
02/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning March 01, 2024
 Period Ending March 29, 2024
 Days in Period 31
 Statement Date March 29, 2024

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ROTARY INTERNATIONAL DISTRICT 6420
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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,402.28

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- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
------------------	--------	-------------------------

There were no transactions for this period.

Daily Balance Summary

Date	Balance
03/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning April 01, 2024
 Period Ending April 30, 2024
 Days in Period 30
 Statement Date April 30, 2024

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ROTARY INTERNATIONAL DISTRICT 6420
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Your Statement

Summary	Ending Balance
Pro Essential Checking	\$4,402.28

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Member FDIC

Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account#	0000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
------------------	--------	-------------------------

There were no transactions for this period.

Daily Balance Summary

Date	Balance
04/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning May 01, 2024
 Period Ending May 31, 2024
 Days in Period 33
 Statement Date May 31, 2024

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ROTARY INTERNATIONAL DISTRICT 6420
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Your Statement

Summary

Ending Balance

Pro Essential Checking \$4,402.28

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Member FDIC

Pro Essential Checking

Account#	0000000070077805	Previous Balance	\$4,402.28
Service Charge	\$0.00	Deposits	\$0.00
		Withdrawals	\$0.00
		Ending Balance	\$4,402.28
		Average Ledger Balance	\$4,402.28
		Average Collected Balance	\$4,402.28

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
------------------	--------	-------------------------

There were no transactions for this period.

Daily Balance Summary

Date	Balance
05/01	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 1
 Period Beginning June 03, 2024
 Period Ending June 28, 2024
 Days In Period 28
 Statement Date June 28, 2024

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ROTARY INTERNATIONAL DISTRICT 6420
 1560 SHERMAN AVE
 EVANSTON IL 60201-4818



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Your Statement

Summary

Ending Balance

Pro Essential Checking \$4,402.28

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- Secure your ACH transactions with ACH Positive Pay
- Shield wire transfers with dual control

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Member FDIC

Pro Essential Checking

Account# XXX7805
 Service Charge \$0.00

Previous Balance \$4,402.28
 Deposits \$0.00
 Withdrawals \$0.00
 Ending Balance \$4,402.28
 Average Ledger Balance \$4,402.28
 Average Collected Balance \$4,402.28

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item fees	\$0.00	\$0.00

Credit/Debit

Transaction Date	Amount	Transaction Description
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There were no transactions for this period.

Daily Balance Summary

Date	Balance
06/03	4,402.28



1201 Network Centre Drive Effingham, IL 62401

Page 1 of 4
 Period Beginning July 01, 2024
 Period Ending July 31, 2024
 Days in Period 31
 Statement Date July 31, 2024

How to contact us

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ROTARY INTERNATIONAL DISTRICT 6420
 1560 SHERMAN AVE
 EVANSTON IL 60201-4818



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Your Statement

Summary

Ending Balance

Pro Essential Checking **\$26,759.35**

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Pro Essential Checking

Owner(s): ROTARY INTERNATIONAL DISTRICT 6420

Account# XXX7805
 Service Charge \$.00

Previous Balance \$4,402.28
 1 Deposits \$43,012.00
 20 Withdrawals \$20,654.93
Ending Balance \$26,759.35
 Average Ledger Balance \$24,482.33
 Average Collected Balance \$24,482.33

	Total For This Period	Total Year-To-Date
Total Overdraft Fees	\$.00	\$.00
Total Returned Item fees	\$.00	\$.00

All Credit Activity

Transaction Date	Deposit	Transaction Description
07/12	43,012.00	NURG-4963 THE ROTARY FOUND CCD 70361 RMR*IK*DG2566598-001-001-PAYME NT FOR \ RMR*IK*GRANT NUMBER DG2566598-

Summary of Cleared Checks

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3333	07/02	2,000.00	3334	07/01	2,000.00



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 Period Beginning
 Period Ending
 Days in Period
 Statement Date

2 of 4
 July 01, 2024
 July 31, 2024
 31
 July 31, 2024

Summary of Cleared Checks (Continued)

*Indicates check number out of sequence.

Check #	Date	Amount	Check #	Date	Amount
3335	07/29	973.00	3352	07/22	973.00
3340*	07/23	973.00	3353	07/25	973.00
3341	07/23	973.00	3355*	07/23	973.00
3343*	07/24	973.00	3357*	07/24	973.00
3345*	07/23	973.00	3358	07/30	973.00
3347*	07/25	973.00	3363*	07/23	586.93
3349*	07/26	973.00	3364	07/24	973.00
3350	07/24	973.00	3369*	07/29	973.00
3351	07/26	973.00	3370	07/29	500.00

Daily Balance Summary

Date	Balance	Date	Balance	Date	Balance
07/01	2,402.28	07/23	37,962.35	07/29	27,732.35
07/02	402.28	07/24	34,070.35	07/30	26,759.35
07/12	43,414.28	07/25	32,124.35		
07/22	42,441.28	07/26	30,178.35		

3333
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 6/12/2024
 PAY TO THE ORDER OF Arthur, Illinois, Food Bank \$ 2,000.00
Two thousand 00/100
 Midland
 FOR Brandon J. Sunden
 #003333# ⑆081204540⑆ #0070077805#

3333 07/02/2024 2,000.00

3334
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 6/21/2024
 PAY TO THE ORDER OF River Bend Food Bank \$ 2,000.00
Two thousand 00/100
 Midland
 FOR Brandon J. Sunden
 #003334# ⑆081204540⑆ #0070077805#

3334 07/01/2024 2,000.00

3335
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Bellevue Rotary Club \$ 973.00
Nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003335# ⑆081204540⑆ #0070077805#

3335 07/29/2024 973.00

3340
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Henry Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003340# ⑆081204540⑆ #0070077805#

3340 07/23/2024 973.00

3341
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF St. Valley Sunrise Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003341# ⑆081204540⑆ #0070077805#

3341 07/23/2024 973.00

3343
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Asheville Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003343# ⑆081204540⑆ #0070077805#

3343 07/24/2024 973.00

3345
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Missoula Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003345# ⑆081204540⑆ #0070077805#

3345 07/23/2024 973.00

3347
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Medina Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003347# ⑆081204540⑆ #0070077805#

3347 07/25/2024 973.00

3349
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Mt. Carroll Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003349# ⑆081204540⑆ #0070077805#

3349 07/26/2024 973.00

3350
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Oregon Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003350# ⑆081204540⑆ #0070077805#

3350 07/24/2024 973.00

3351
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Ottawa Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003351# ⑆081204540⑆ #0070077805#

3351 07/26/2024 973.00

3352
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTARY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24
 PAY TO THE ORDER OF Ottawa Sunrise Rotary Club \$ 973.00
nine hundred seventy-three 00/100
 Midland
 FOR Brandon J. Sunden
 #003352# ⑆081204540⑆ #0070077805#

3352 07/22/2024 973.00

3353
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484913
 PAY TO THE ORDER OF Pedernales Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003353# #081204540# #0070077805#

3353 07/25/2024 973.00

3355
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484913
 PAY TO THE ORDER OF Plano Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003355# #081204540# #0070077805#

3355 07/23/2024 973.00

3357
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484912
 PAY TO THE ORDER OF Pedernales County Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003357# #081204540# #0070077805#

3357 07/24/2024 973.00

3358
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484912
 PAY TO THE ORDER OF Quad Cities Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003358# #081204540# #0070077805#

3358 07/30/2024 973.00

3363
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484913
 PAY TO THE ORDER OF Red Hill Club / Cherry Valley Rotary \$ 586.93
Five hundred eighty-six +93/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003363# #081204540# #0070077805#

3363 07/23/2024 586.93

3364
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484912
 PAY TO THE ORDER OF Rock Island Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003364# #081204540# #0070077805#

3364 07/24/2024 973.00

3369
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484912
 PAY TO THE ORDER OF Trin. Crown Service Rotary Club \$ 973.00
nine hundred seventy-three +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003369# #081204540# #0070077805#

3369 07/29/2024 973.00

3370
 ROTARY INTERNATIONAL DISTRICT 6420
 ROTAY DISTRICT 6420 GRANT ACCOUNT
 DATE 7/15/24 70-484912
 PAY TO THE ORDER OF Trin. Crown Service Rotary Club \$ 500.00
Five hundred +00/100 DOLLARS
 FOR Pauline Grant - the President
 Midland 
 #003370# #081204540# #0070077805#

3370 07/29/2024 500.00

Rotary



**District 6420 Foundation Financial
Review Committee Meeting Report
for: 30-Sep-2024**

ATTACHMENT THREE

Approved District Grants

And Summary of Reports Relating Thereto

2023-2024

2023-2024 District 6420 District Grants

Club	Project	DDF – as much as \$1032	District 6420 – as much as \$468
Belvidere	Library Books for Patients with Dementia and Alzheimer's	\$605	\$0
Byron	Integrative Therapies for Hospice Patients	\$1032	\$468
DeKalb	STEAM Fest	\$1032	\$168
Dixon	Easter Meal Baskets	\$1032	\$468
Galena	PreKindergarten Playground "Duo Music Panel"	\$1032	\$468
Geneseo	Cole's Cabin Funding for the Disabled	\$1032	\$215
Henry	Supplies and Equipment for Family Consumer Science Program at Henry-Senachwine High School	\$1032	\$468
Illinois Valley Sunrise	Pollinator Park at Rotary Park	\$1032	\$22
LaSalle	Pollinator Park at Rotary Park	\$1032	\$22
Peru	Pollinator Park at Rotary Park	\$1032	\$22
Kishwaukee Sunrise	Expanding Full-Time Day Care Facilities for Low Income Families	\$1032	\$466
Loves Park	Teen Hope Boxes	\$1032	\$468
Marseilles	Community Literacy Enhancement	\$1032	\$468
Mendota	Library Story Walk	\$1032	\$468
Moline	Boys and Girls Clubs of the Mississippi Valley Cooking Stove	\$1032	\$468
Morrison	Let's Feed the Children	\$1032	\$468
Sterling Noon	Let's Feed the Children	\$1032	\$468
Twin Cities Sunrise	Let's Feed the Children	\$1032	\$468
Mt. Carroll	Providing Defibrillators	\$1032	\$468
Oregon	Oregon Lifeline/Rotary/Freezer Project	\$1032	\$468
Ottawa Noon	Inflatable Fire Safety Education House	\$1032	\$468
Ottawa Sunrise	Inflatable Fire Safety Education House	\$1032	\$468
Pecatonica	S.O.S. Support Our Students	\$1032	\$468
Plano	Build a Shelter at the Cook Woods Outdoor Learning Center	\$1032	\$468
Princeton	Care Trak System Devices to Support Princeton Dementia Friendly Community	\$1032	\$468
Putnam County	Shedding New Light on the Performing Arts in Putnam County	\$1032	\$468
Quad Cities	Computers for Alternatives for Your Money Management Program	\$1032	\$468
River Cities	Library Teen Space	\$1032	\$468
Rochelle	Window Shopping	\$1032	\$468
Rock Falls	Walk-In Cooler Purchase Support	\$1032	\$468
Rock Island	Feeding the Needs of Our Local Neighbors in Rock Island	\$1032	\$468
Rockford East/Cherry Valley	Help for Youth at Risk	\$1032	\$218
Rockford	Teaching Children About Living With Nature	\$1032	\$468

Rockton/Roscoe	High School -- Local Library Book Supplement	\$746	\$0
Sandwich	Books for Haskin Elementary School in Sandwich	\$733	\$0
Savanna	STEM Library Student Learning Kits	\$1032	\$468
Sycamore	Spartan Food Pantry	\$1032	\$468
Toluca	AED's Save Lives	\$1032	\$468
Twin Rivers RAH	Blankets for Foster Kids	\$500	\$0
Walnut	Freedom House Expansion Needs	\$1032	\$468

Clubs not participating – East Moline/Silvis, Freeport Noon, and Streator.

Shaded clubs are multi-club projects.

Rotary District 6420 2023-24 Final Reports

CLUB	2023-24 Final Report	
Belvidere	YES	
Byron	NO Report	Club disbanded
DeKalb	NO Report	Not eligible for grant 24-25
Dixon	YES	
East Moline/Silvis	NA - No 23-24 Grant	
Freeport Noon	NA - No 23-24 Grant	
Geneseo	YES	
Henry	YES	
Illinois Valley Sunrise	YES	
Kishwaukee Sunrise	YES	
LaSalle	YES	
Marseilles	YES	
Mendota	YES	
Moline	YES	
Morrison	YES	
Mount Carroll	YES	
Oregon	YES	
Ottawa Noon	YES	
Ottawa Sunrise	YES	
Pecatonica	YES	
Peru	YES	
Plano	YES	
Princeton	YES	
Putnam County	YES	
Quad Cities	YES	
River Cities	YES	
Rochelle	YES	
Rock Falls	YES	
Rockford	YES	
Rockford East Cherry Valley	YES	
Rock Island	YES	
Rockton Roscoe	YES	
Sandwich	YES	
Savanna	YES	
Sterling Noon	YES	
Streator	NA - No 23-24 Grant	
Sycamore	NO Report	Not eligible for 24-25 Grant
Toluca	YES	
Twin Cities Sunrise	YES	
Twin Rivers	YES	
Walnut	YES	



**District 6420 Foundation Financial
Review Committee Meeting Report
for: 30-Sep-2024**

ATTACHMENT FOUR

District 6420 Procedure for Addressing Misuse and/or Mismanagement of Grant Funds

**ROTARY DISTRICT 6420
DISTRICT PROCEDURES FOR ADDRESSING MISUSE
AND/OR MISMANAGEMENT OF GRANT FUNDS**

Enacted September 22, 2018

It is the District's responsibility to work actively to prevent instances of misuse and/or mismanagement of grants funds. The District is responsible for investigation of any allegations of misuse/mismanagement in a timely manner. Investigations must be handled in a consistent and fair manner, understanding that each situation is unique and may require a need to adjust procedures as an investigation moves forward.

The Rotary Foundation (TRF) directs Districts to adopt policies to investigate and to "maintain detailed records of any investigation to provide transparency and to ensure that evidence supports the investigation findings."

Further, Rotary International (RI) Bylaws, Section 3.030.3, permits RI to suspend or terminate the membership of any Club that retains in its membership any person who has misused TRF funds or who has breached TRF stewardship policies.

Accordingly, District 6420 adopts the following procedure for investigation and remediation of suspected misuse and/or mismanagement of TRF funds:

1. Any concern about potential misuse/mismanagement must be promptly reported by any Rotary member becoming aware of a potential issue, to the District Governor (DG), District Governor-Elect (DGE) and District Rotary Foundation Committee Chair (DRFCC) The DG, DRFCC, and District Rotary Foundation Stewardship Sub-Committee Chair (DRFSSC) will promptly confer and the DRFSSC will maintain the log of events and information related to the investigation, retaining same in confidence among them except that all reports of misuse or mismanagement, whether potential or substantiated, will be promptly reported to the Foundation to assist in resolving the issue appropriately and may be subject to legal process or subpoena. The DRFCC shall promptly advise The Rotary Foundation (TRF) of a report of misuse or mismanagement of TRF grant funds.

2. The log to record action concerning the possible misuse/mismanagement will be developed. This log will include:

- a. grant number and information;
- b. project description;
- c. primary target of investigation, with District and Club affiliation;
- d. summary of the report of misuse/mismanagement;
- e. actions taken with relevant dates;
- f. next steps in investigation;
- g. outcome; and
- h. record of notification of The Rotary Foundation of the report of possible misuse/mismanagement, and of outcome (the log will include the date and name of individual at TRF to whom the communication was sent).

3. Payment of any grant funds to the Club of which the individual under investigation is a member will be halted until the report has been investigated.
4. No new grant applications for an individual or Club being investigated for misuse will be approved until the situation is resolved satisfactorily.
5. Detailed records of any investigation will be maintained by the DRFSSC to provide transparency, and to ensure that evidence supports the investigation findings. The record of each investigation will be retained by the DRFSSC for seven (7) years along with the records of other Stewardship Committee activities, with the understanding that access to the records may be restricted.
6. Investigation of reports of possible misuse/mismanagement will be conducted by the DG, DGE, DRFCC and DRFSSC. The DG may designate another well trusted individual to conduct the investigation if circumstances warrant. In the conduct of the investigation, the individual(s) conducting it have the authority to request all records of the use of grant funds, as well as conduct interviews of individuals involved or who may have information pertinent to the investigation.
7. Promptly upon completion of their investigation, if the issue may be resolved by mathematical correction or rectification of innocent error, that recommendation will be made to the individual(s), Club, District Corporate Board, or others responsible, as appropriate, providing a reasonable time for completion thereof before conclusion of such investigation.

If, on the other hand, or in the absence of such correction or rectification, it is the determination of such investigation that cause is found to suspect possible misuse/mismanagement of TRF funds, the DG will provide the details of investigation and log, as well as any recommendations made by TRF, to the District Corporate Board for review. The Board shall make such findings and take such action as it deems necessary under the circumstances.

In the event an individual is found by the District Corporate Board to have misused or mismanaged funds, the individual(s) responsible, if still members of the District, shall be informed in writing and shall be advised that he/she/they shall have fourteen (14) days to respond to the DG if any may wish to respond, which response may include a request to be heard by the District Corporate Board. If so requested, the District Corporate Board shall promptly convene for such purpose.

At the conclusion of such period, or upon conclusion of such further review by the District Corporate Board if so requested, the District Corporate Board shall take such action as it deems necessary under the circumstances. In the event an individual is then found by the District Corporate Board to have misused or mismanaged funds, the DG shall inform such member and the President and Secretary of that member's Club of the findings and action of the District Corporate Board and the possible impact of Section 3.030.3 of the Rotary International By Laws. The DRFC shall promptly advise TRF of the outcome of such investigation.

Every reasonable effort will be made to maintain the privacy of the individual(s) being investigated. Once it has been determined by the evidence that misuse/mismanagement has occurred, involving law enforcement may be necessary. The determination to involve law enforcement will be made jointly by the District Corporate Board in consultation with the DG, DRFCC, and DRFSSC.

Records of any investigation in which charges are not substantiated will remain sealed and will be retained by the DRFSSC for seven (7) years.

8. If a DG, DGE, DRFCC, DRFSSC or Corporate Board Member is the subject of investigation, that person shall be excluded from participating in such investigation, review, and consideration and information, and the investigation log and substance shall not be shared with such person unless and until a finding is made against such person by the District Corporate Board under Paragraph seven (7) of this Policy.

9. Any reference to District Officers, Board Members, Chairs, Sub-Committee Chairs or others, includes and governs the actions of their successors holding such position(s).

Adopted upon vote of the Corporate Board of Rotary International District 6420, Inc.
this 22nd day of September, 2018.

SIGNED: /s/
Kathy Kwiat-Hess, District Governor

ATTEST: /s/
Betty Clementz, District Secretary