

DOING GOOD IN THE WORLD

From Foundation Walk to Grants

2017-18 D7070 Qualification Seminar

Saturday, February 11, 2017

PDG Lars Henriksson

Rotary



THE FOUNDATION'S MISSION



Advance World Understanding, Goodwill & Peace through the improvements of health, the support of education , and the elevation of poverty

Rotary



THE ROTARY FOUNDATION'S THREE FUNDS

❖ Endowment Fund

- ❖ Ensures long-term stability of the Foundation's grants and programs
- ❖ Goal: USD1 billion by 2017
- ❖ Contributions DO NOT qualify for Paul Harris recognition

❖ Annual Fund

- ❖ Primary source of funding for TRF's local and international grants and programs
- ❖ Contributions qualify for Paul Harris recognition

❖ PolioPlus Fund

- ❖ Contributions are used for the End Polio Now campaign
- ❖ Contributions qualify for Paul Harris recognition

Rotary



ACTIVITIES/PROGRAMS OF THE FOUNDATION

❖ PolioPlus

- From 350,000 cases (120+ countries) in 1985 to <200 (2 countries) in 2015
- Rotary has contributed >USD1.6 billion & volunteer hours
- Works with WHO, CDC, UNICEF, Gates Foundation & governments
- Funded by PolioPlus funds & DDF

❖ Rotary Peace Fellowships

- Graduate degree in international relations, peace, conflict resolution at six universities around the world
- Districts nominate by July 1 for worldwide competition
- Funded by DDF, Endowment Fund & World Fund

❖ Grants

- District Grants & Global Grants



WHAT IS NEW?

- **The Rotary Foundation is 100 years**
- **The 5% admin fee is in its second year**
- **Government of Canada Funds**
- **Construction of livable space eligible for grant funds – three year pilot**



WHO CAN PARTICIPATE IN THE GRANTS PROGRAM?

- **The Rotary Foundation**

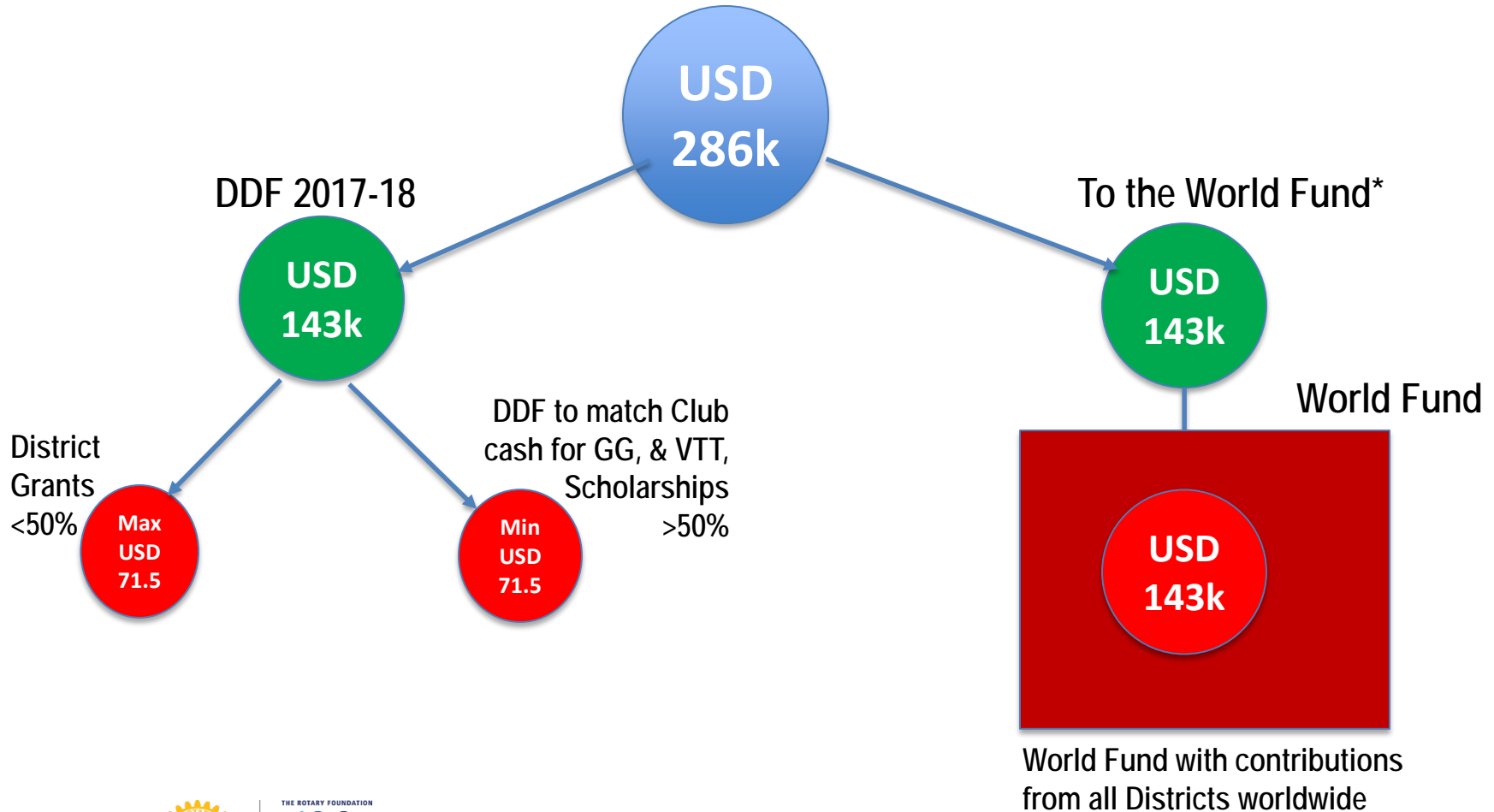
requires that Clubs that want to participate in the Grants program must

- be attending the District's Qualification Seminar,
&
- sign a Memorandum Of Understanding (MOU)
(President & President-Elect)

The Certification is valid for One year.

THE SHARE SYSTEM – ANNUAL FUND

Funds raised in 2014-15



GLOBAL vs. DISTRICT GRANTS

Global Grants

- Long-term
- Administered by TRF
- Application/reporting on-line at Rotary's Grant Centre
https://grants.rotary.org/s_main.jsp?lang=1
- Applications anytime in the year
- Must relate to one or more of the 6 areas of focus
- Must be sponsored by one Host Club/District where the project takes place; one International Club/District outside that area
- Budget >USD30,000
- TRF Award >USD15,000
- Community Projects, VTT & Graduate level Scholarships

District Grants

- Short-medium term
- Administered by the District
- Must support The Rotary Foundation's mission
- Clubs apply to the District
- Can be used for local and international projects
- Can also be used for projects in areas with no Rotary Clubs
- No minimum budget amount
- Districts are free to develop their own policies, procedures & rules, such as the May 31, 2017 deadline for applications & matching policy

SIX AREAS OF FOCUS FOR GLOBAL GRANTS



Peace and conflict prevention/resolution



Disease prevention and treatment



Water and sanitation



Maternal and child health



Basic education and literacy



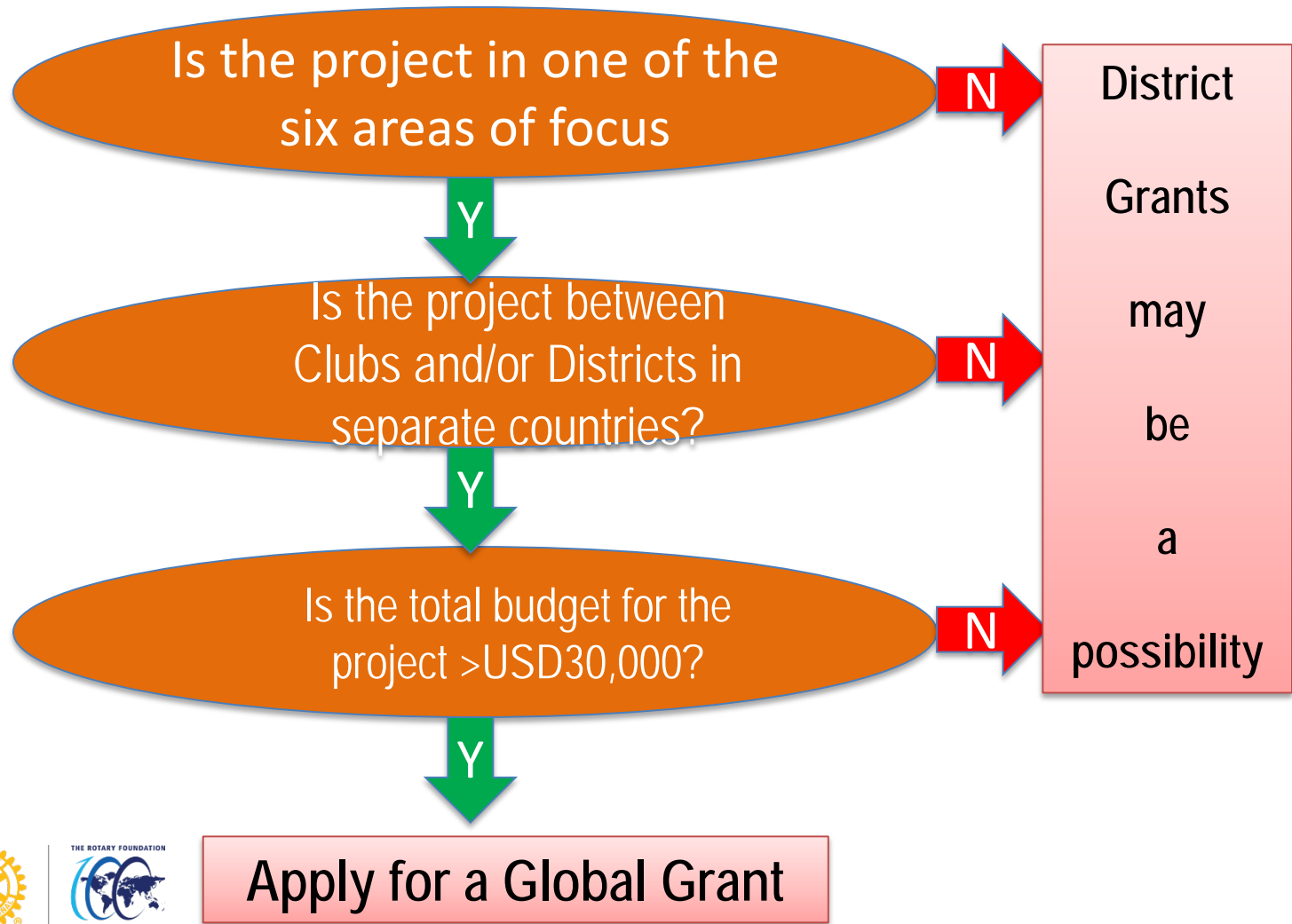
Economic and community development

Rotary



GLOBAL or DISTRICT GRANT?

- Three simple questions to ask



GLOBAL GRANT LIFECYCLE



Global Grant Lifecycle

Application Phase

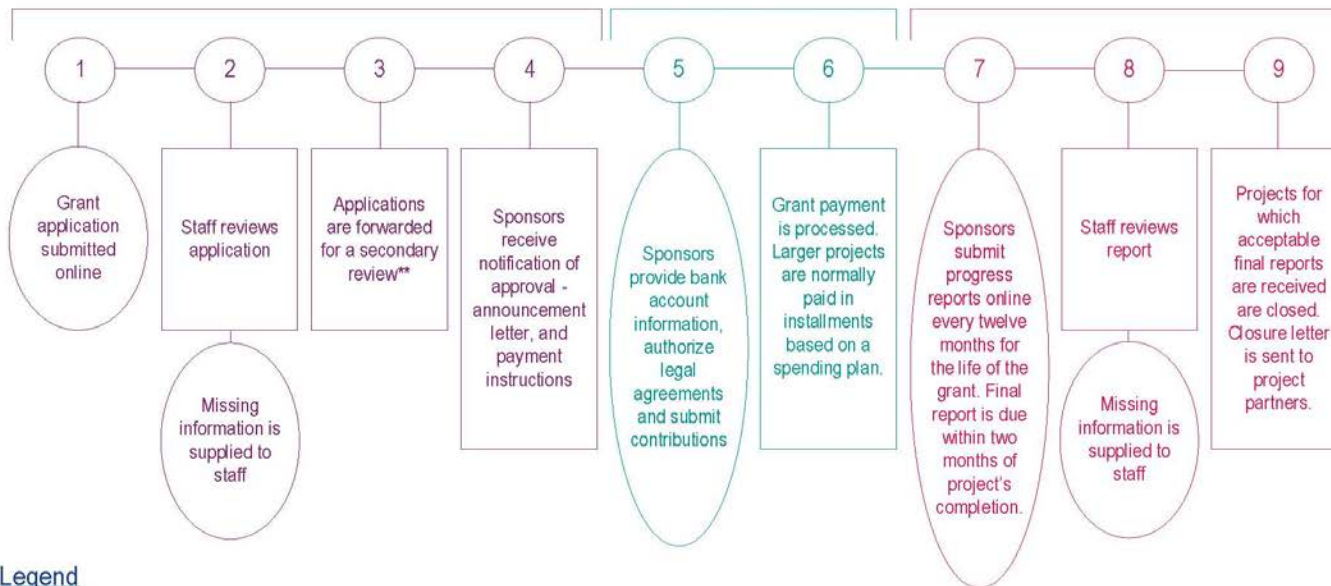
If application arrives at TRF complete, time from receipt to approval of application is approximately 4 weeks.*

Payment Phase

From time that TRF receives bank account information, signed legal agreements, and contributions, processing of payment takes approximately 2-4 weeks.*

Reporting Phase

Staff review reports in the order in which they are received within 4 weeks of receipt at TRF.*



Legend

- = Rotarian action
- = TRF action

* Timeframe may be longer during peak times.

** Applications requesting a match of more than \$50,000 are reviewed by the Cadre. Applications requesting a match of more than \$100,000 are reviewed by the Trustees.

GLOBAL GRANT FINANCING

- Rotarian Cash contributions matched 50% with World Fund at TRF
- DDF (District Designated Funds) from the District, matched 100% with World Fund at TRF
- Non-Rotarian cash contributions, matched 50% with World Fund at TRF
- TRF Award (as above)
- ***NB! Most Global Grant projects have both Club Cash and DDF financing, but a project can go ahead, and be matched by TRF, with only either Club Cash or DDF***



SUSTAINABILITY KEY FOR GLOBAL GRANT PROJECTS

Rotary Foundation definition of sustainability:

Providing solutions to community needs in such a way that the benefiting community can maintain the activities after grant funding ends

- Community needs
- Appropriate technology
- Sustainable funding
- Knowledge transfer
- Motivation
- Monitoring and evaluation



GLOBAL GRANT – AN EXAMPLE

Source		USD	Subtotals	Comments
Clubs	D7070	25,000		
	Non-D7070 Cdn	10,000		
	Non-Canadian	15,000	50,000	
DDF	D7070	20,000		D7070 may match 1:1, max. 5K/Club & Project
	Non-D7070 Cdn	5,000		
	Non-Canadian	3,000	28,000	
TRF	Cash matching	25,000		Always 50% of Club Cash
	DDF matching	28,000	53,000	Always 100% of DDF
Grand total			131,000	- Grand total >USD30,000 - TRF Award (53,000) >15,000

BUT WAIT – There are also GOC funds!!!

- A partnership for Global Grant projects between the Government of Canada and Rotary Foundation Canada
- CAD1.2 million per year for five years
- Now in the second year
- Will match Canadian Club contributions & DDF 1:1
- First come, first serve basis
- GOC funds will be matched by The Rotary Foundation 0.5:1



GOC-FUNDS – FACTS & CRITERIA

- Program fully integrated with TRF Global Grants process
- Projects can be in five of the six areas of focus
 - Peace & Conflict Resolution the exception
- Child and maternal health GOC's preferred area
- Three major eligibility restrictions:
 - Scholarships; Construction activities of any kind & Purchase of vehicles or major medical equipment
- All funded projects must take into account:
 - Gender Equity; Environmental Sustainability; & Good Governance
(Special forms to be filled out and added to the application)
- The Government of Canada has identified countries that are a priority for Canadian development programming
- Application to TRF Canada – short turnaround promised
- TRF Canada approved amount can be added to GG-application
- Visit www.trfcanada.org for more information
- Nothing to loose applying whenever you believe your project qualify

GLOBAL GRANT – An example with GOC-funds

Source		USD	Subtotals	GOC	Comments
Clubs	D7070	25,000		25,000	
	Non-D7070 Cdn	10,000		10,000	
	Non-Canadian	15,000	50,000		
DDF	D7070	20,000		20,000	
	Non-D7070 Cdn	5,000		5,000	
	Non-Canadian	3,000	28,000		
TRF	Cash matching	25,000		17,500	TRF matches 50%
	DDF matching	28,000	53,000	12,500	TRF matches 50%
	GOC matching				
Total			<u>131,000</u>	<u>90,000</u>	An additional 90K to the project
Grand	Total with GOC		221,000		



GLOBAL GRANTS – what GOC-funds may add

Source	Without GOC-funds		With GOC funds	
A1> Canadian Rotary D7070 Club Cash*		25,000		25,000
A2> Canadian Rotary non-D7070 Club Cash*		10,000		10,000
B> TRF 5% administrative fees on "cash" money from Canada**		1,750		1,750
C> Non-Canadian cash money		15,000		15,000
Bnc> TRF 5% admin fee on non-Canadian cash		750		750
D1> Canadian D7070 DDF amount		20,000		20,000
D2>Canadian non-D7070 DDF amount		5,000		5,000
E> Non-Canadian District DDF		3,000		3,000
F> GOC Funds (\$1 to \$1 For A1+A2+D1+D2)				60,000
G> World Fund (\$1 to \$2 for A1+A2+C+F)	25,000		55,000	
H> World Fund (\$1 To \$1 For D1+D2+E)	28,000		28,000	
World Fund subtotal (min USD15,000)	53,000	53,000	83,000	83,000
Total NET available for project (min. USD 30,000)		131,000		221,000
TRF Administrative fee			2,500	
Fields to enter dollar amounts (USD)				
* All amounts are in US dollars				
**TRF 5% administrative fees is not included in the total				

STEWARDSHIP – IT IS HARD EARNED MONEY

Stewardship is the responsible management and oversight of grant funds, including:

- Rotarian supervision, e.g. three member committee
- Financial records review, e.g. book-keeping, storage of documents
- Oversight of funds, e.g. separate project bank account
- Reporting irregularities, e.g. conflict of interest, funds can't come from beneficiaries nor other grants
- Timely submission of reports
- Follow local laws



GLOBAL GRANT REPORTING REQUIREMENTS

- Progress reports
 - Within 12 months of first payment
 - Every 12 months through the life of the grant
- Final report within 2 months of completion
- ***If Clubs fail to meet the report requirements, they and the District may be removed from the Grants program.***

D7070 has set the same rule for District Grant Projects



DISTRICT GRANTS FINANCING

To encourage Club cooperation, we are using a funding model that gives more funds/participating Club based on the number of Clubs in the project. Apply to D7070 Clubs only.

Clubs in the Project	1	2-3	4 and more
Club Cash vs DDF (max)	1 : 0.50	1 : 0.75	1 : 1
Max DDF/Club & Project	1,000	1,500	2,000
Max. DDF/Project	1,000	4,500	9,000



DISTRICT GRANT PROJECTS – 3 examples

Example A, 1 Club (1:0.50)

Project budget	\$5,000
Club Cash	\$4,000
District Grant	\$1,000

Example B, 3 Clubs (1:0.75)

Project budget	\$9,750	
Club A	\$3,000	\$1,500
Club B	\$2,000	\$1,500
Club C	\$1,000	<u>\$ 750</u>
District Grant	\$3,750	\$3,750

Example C, 5 Clubs (1:1)

Project budget	\$19,500	
Club A Cash	\$5,000	\$2,000
Club B	\$3,500	\$2,000
Club C	\$2,000	\$2,000
Club D	\$1,000	\$1,000
Club E	\$ 500	<u>\$ 500</u>
District Grant	\$7,500	\$7,500

All examples are assuming maximal matching, which may or may not be the case

DISTRICT GRANT PROCESS FOR YEAR 2017-18

- Clubs file District Grant applications on special D7070 form to the District no later than May 31, 2017
- Clubs' Applications are summed up in District's Spending Plan = District's Block Grant application to TRF (June 15, 2017)
- TRF reviews and approves the Spending Plan (July 2017)
- D7070 receives a District Block Grant (August 2017)
- Funds are distributed to Clubs (August/September 2017)
- Clubs complete projects, file final reports
- District files final report for the District Block Grant to TRF

Responsible:

Club

District

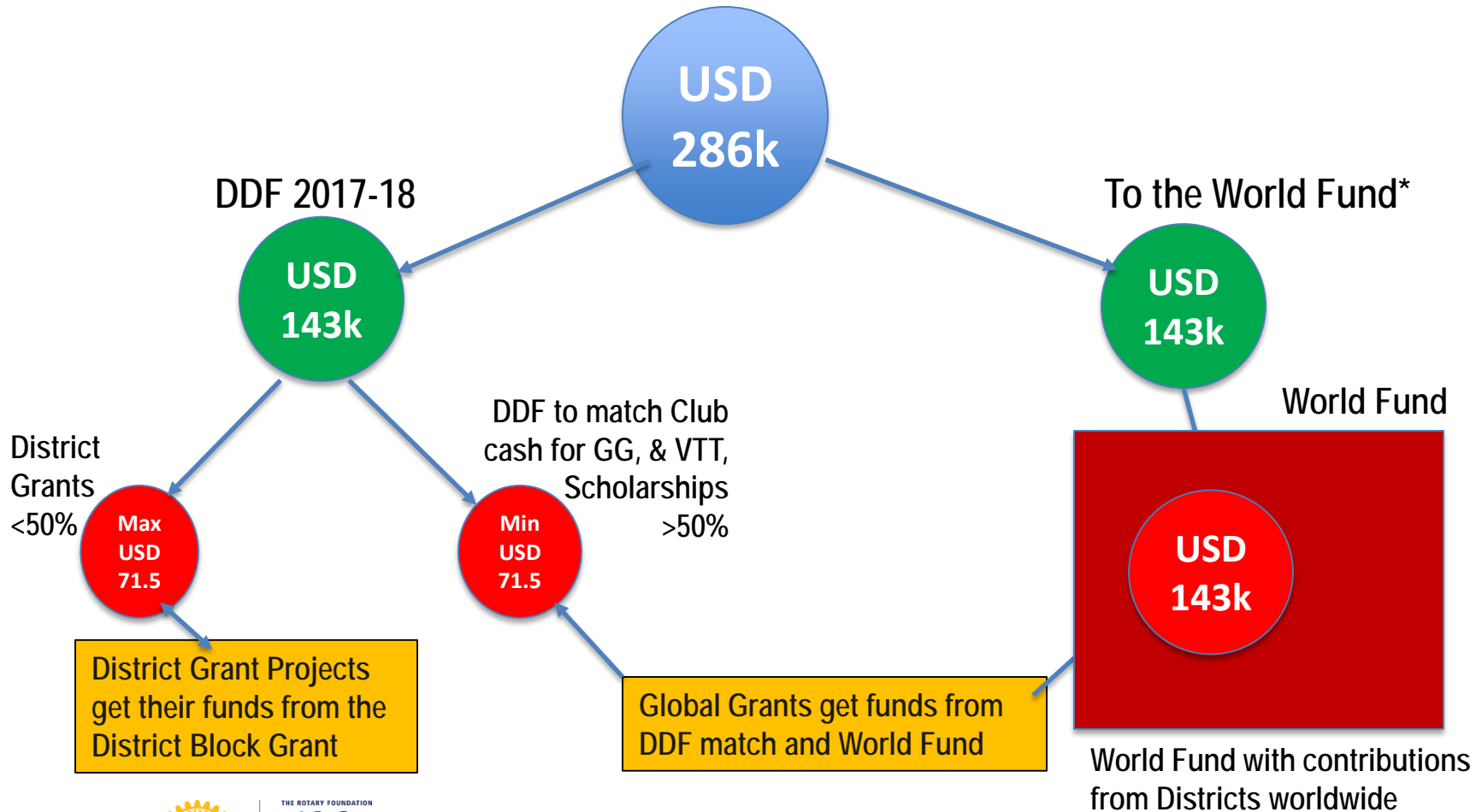
TRF

Rotary



SO HOW ARE THE FUNDS USED?

Funds raised in 2014-15



Rotary



World Fund with contributions from Districts worldwide

* incl. 5% earmarked for TRF admin.

WANT TO LEARN MORE ...

- **Rotary's website**

- https://grants.rotary.org/s_main.jsp?lang=1
- Global Grant Monitoring and Evaluation Plan Supplement
- A Guide to Global Grants (1000en)
- Rotary Foundation Reference Guide (219en)
- How to use the Global Grant Center
- Global Outlook – Funding model
- Terms and Conditions

- **District 7070**

- Website www.rotary7070.org
- Committees
 - District Water Committee/World Community Service Committee
 - District Foundation Grants Sub-Committee
- Members & Clubs that have completed Grants



YOUR CLUB IS QUALIFIED – *once ...*



- ...
- at least two Club members have taken the Qualification Seminar in Oshawa, Saturday, February 11, or District Assembly, May 13, 2017 and
 - your Club has signed the MOU

Lars Henriksson

lars@norditrade.com



Thank You

